

# Sterile Neutrino Production in the Early Universe

Jacopo Ghiglieri, CERN

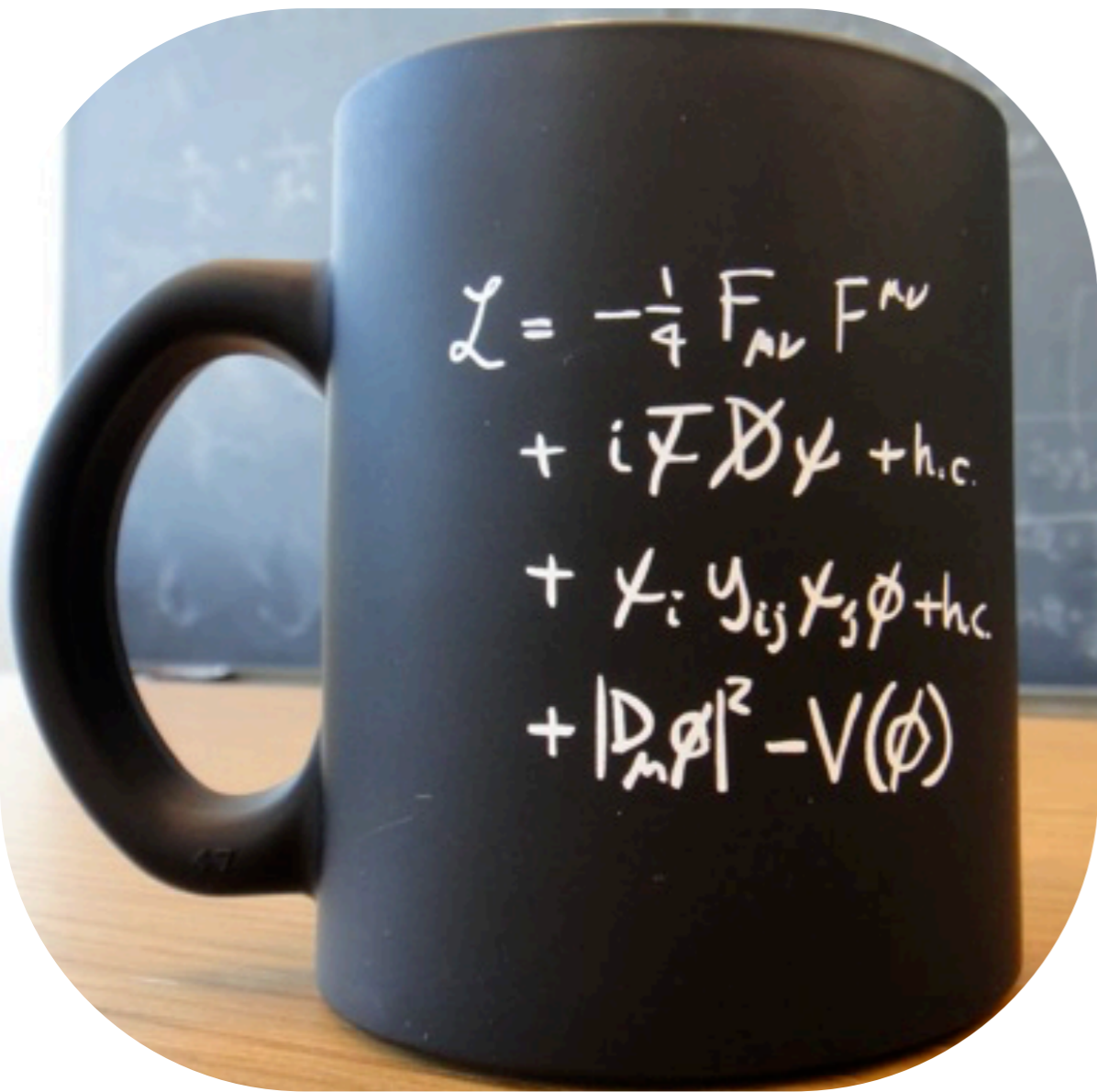


Teilchentee, ITP Heidelberg, 06.07.2017

# Outline

- Introduction to right-handed sterile neutrinos
- Theory overview
- Production and washout rates for ultrarelativistic neutrinos
- Resonant production of keV-scale sterile neutrinos
- Conclusions

# The SM: (n-1)/n full or 1/n empty?



- The SM seems to do quite well in collider experiments, no smoking mugs there yet
- However
  - ☕ Neutrino oscillations (and masses) are unexplained in vanilla SM
  - ☕ No mechanism for baryogenesis (more later)
  - ☕ No candidate for dark matter (5x more abundant than baryonic matter)

# Right-handed neutrinos

- Minimal model: add  $n$  sterile (SM gauge singlet), Majorana neutrinos coupling to the three active lepton flavours and the (conjugate) Higgs field

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} \sum_I \bar{N}_I (i\gamma^\mu \partial_\mu - M_I) N_I - \sum_{I,a} (\bar{N}_I h_{Ia} \tilde{\phi}^\dagger a_L l_a + \bar{l}_a a_R \tilde{\phi} h_{Ia}^* N_I)$$

- $h_{Ia}$  (minimal) Yukawa coupling
- At  $T \ll T_{\text{EW}} = 160$  GeV: EW symmetry breaks  $\tilde{\phi} \simeq (v \ 0)^T / \sqrt{2}$ .

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2} \sum_I \bar{N}_I (i\gamma^\mu \partial_\mu - M_I) N_I - \sum_{I,a} (\bar{N}_I M_{D\ Ia}^\dagger a_L \nu_a + \bar{\nu}_a a_R M_{D\ ai} N_I)$$

$M_{D\ ai} = h_{aI}^\dagger v / \sqrt{2}$ : Dirac mass connects left- and right-handed spinors (European color coding, sorry USA friends)

# Seesaw

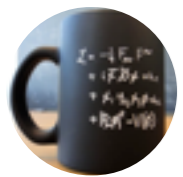
- Seesaw: when  $M_D \ll M_I$  diagonalization yields
  - $n$  almost purely sterile states with masses  $\sim M_I$
  - 3 almost purely active states with masses given by the roots of the eigenvalues of  $M_D(1/M_I)M_D^T$
- Gauge-invariant generation of a mass term for the left-handed neutrinos Minkowski Gell-Mann Ramond Slansky Yanagida Glashow Mohapatra Senjanovic  
Possible also through scalar exchange Magg Wetterich Lazarides Shafi Mohapatra Senjanovic Schechter Valle
- In general mass and flavor bases do not coincide  $\Rightarrow$  oscillations

# Baryogenesis

- Need to satisfy Sakharov's conditions
  - B violation
  - C and CP violation
  - Deviations from thermal equilibrium

# Baryogenesis

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  - **B violation**
  - C and CP violation
  - Deviations from thermal equilibrium

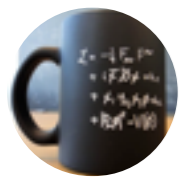


Feynman rules always conserve B, but **sphaleron processes** violate B (and conserve B-L)

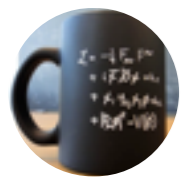
- Non-perturbative solutions, in equilibrium at  $T > T_{EW}$ , exponentially suppressed below. Decouple at  $T \sim 130$  GeV  
[D'Onofrio Rummukainen Tranberg PRL113 \(2014\)](#)

# Baryogenesis

- Need to satisfy Sakharov's conditions
  - B violation
  - C and CP violation
  - Deviations from thermal equilibrium



The CKM phase violates CP



No mechanism for a deviation from equilibrium. For  $m_H=125$  GeV the electroweak transition is a crossover

- Electroweak baryogenesis not possible in vanilla SM



# Leptogenesis

- Main idea: generate L first (BSM) and then let sphalerons turn it into B
- Sphalerons provide B
- Lepton-neutrino Yukawas provide CP
- Model-dependent mechanisms for ~~equilibrium~~
  - “**Classic leptogenesis**”: massive ( $M \gg T_{EW}$ ) RHN
    - 1) produced thermally  $T \gtrsim M$  ( $l\phi \rightarrow N$ )
    - 2) decay out of equilibrium ( $N \rightarrow l\phi$ ) when  $T \ll M$  (no inverse process) with CP violating phases, thus generating lepton imbalance

Fukugita Yanagida **PLB174** (1986)

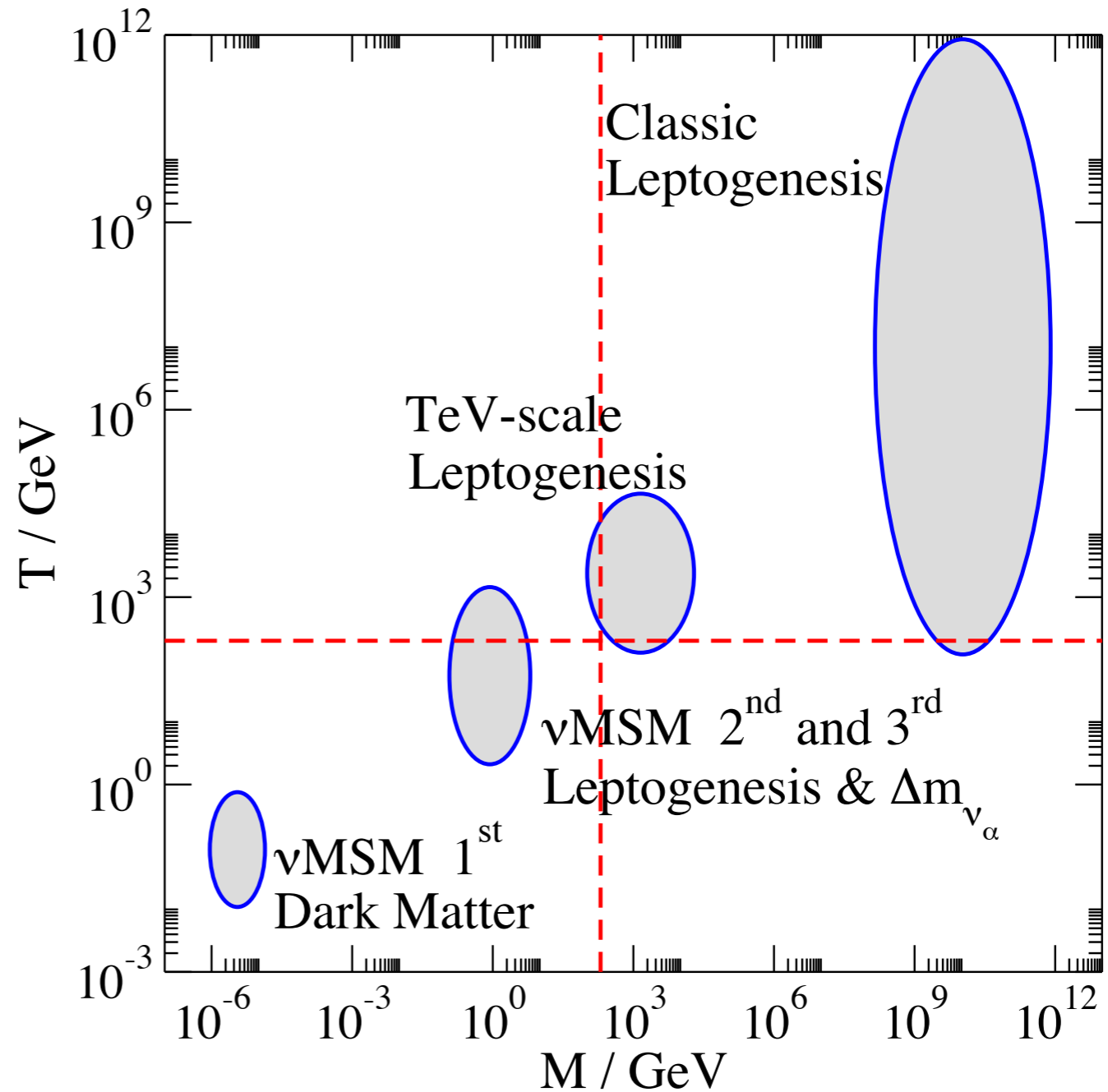
# Leptogenesis

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- Model-dependent mechanisms for ~~equilibrium~~
- “**ARS leptogenesis**”: GeV scale RHNs
  - 1) produced thermally at  $T > T_{EW} \gg M$  conserving CP
  - 2) oscillations of N and their CP violating mixings create  $L_I$  for the  $I$  flavors, which can then be transformed into B in certain conditions

Akhmedov Rubakov Smirnov **PRL81** (1998)

# Leptogenesis

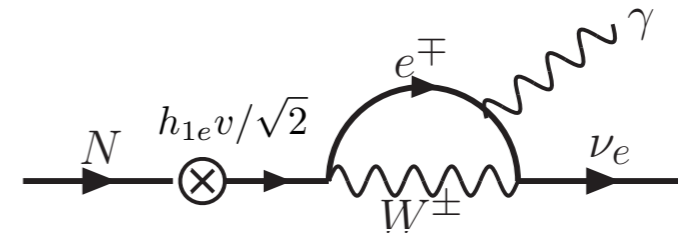
- Other scenario:  
 **$\nu$ MSM**. Two GeV  
RHNs for ARS  
leptogenesis, a keV  
one for dark matter  
Asaka Blanchet  
Shaposhnikov **PLB620**,  
**PLB631** (2005)



Plot by Mikko Laine

# Dark matter

- A sterile neutrino can be a good DM candidate. No gauge interactions, sufficiently long lived.
- Why keV?
- Fermionic DM cannot be arbitrarily packed together. Inferred DM density cannot exceed degenerate Fermi gas phase space density ( $\propto M^4$ )  $\Rightarrow$  **lower bound** on the mass  
[Tremaine Gunn PRL42 \(1979\)](#)
- Radiative decay  $N \rightarrow \nu \gamma$  creates a monochromatic (X-ray) line. Decay width  $\propto M^5$ . Non-observation yields **upper bound** on the mass. Recent disputed hints of a 3.55 keV line observation



# Dark matter

- keV-scale RHN DM would not be Cold Dark Matter. If spectrum is thermal it would be **Warm Dark Matter**, if not more complicated spectra. Might solve some CDM discrepancies [Lovell et al 1605.03179 1611.00005 1611.00010](#)
- Production would happen in the early universe from the mixing with active neutrinos.
- In the absence of a lepton asymmetry at production time, thermal production proceeds **non-resonantly**. Strong tension with observational bounds  
[Dodelson Widrow PRL72 \(1994\)](#)
- If a lepton asymmetry is present, MSW-type **resonant production** [Shi Fuller PRL82 \(1999\)](#)

# Theory overview



# General approach

- Many theory approaches in the literature for right handed neutrino dynamics (production, leptogenesis, washout) in the early universe
- Boltzmann equations [Giudice Notari Raidal Riotto Strumia...](#)
- Closed-time path, Kadanoff-Baym equations [Garny Kartavtsev Hohenegger Lindner Garbrecht Beneke Buchmüller Drewes Mendizabal Weniger...](#)
- Operatorial approach [Bödeker Laine Sangel Wormann...](#)
- ...
- Review to appear soon [Biondini et al... 1707.xxxxx](#)

# General approach

- Factor the system into “fast” and “slow” modes, and integrate out the former to obtain evolution eqs. for the latter





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- Factor the system into “fast” and “slow” modes, and integrate out the former to obtain evolution eqs. for the latter

- For instance



for  $130 \text{ GeV} \lesssim T \lesssim 10^5 \text{ GeV}$ , all SM interactions are in thermal equilibrium



$O(\text{GeV})$  RHNs have  $\sim 10^{-7}$  Yukawas: non-eq. ensemble



Lepton (and baryon) densities also evolve slowly

# Textbook example: thermal production

- Assume an equilibrated **hot bath** (QGP, early universe) with its internal coupling  $g$  and a particle  $\phi$ , weakly coupled (coupling  $h$ ) to other d.o.f.s, so that  $\phi$  is **not in equilibrium**

$$\mathcal{L} = \mathcal{L}_\phi + h\phi^* J + h^* J^* \phi + \mathcal{L}_{\text{bath}}$$

$J$  built of **bath operators**

- With a simple derivation one obtains that the rate (per unit volume) is proportional to a **thermal average** of a  **$JJ$  correlator**

$$\frac{d\Gamma_\phi}{d^3k} = \frac{|h|^2}{2E_k} \Pi^<(k) = \frac{|h|^2}{2E_k} \int d^4X e^{iK \cdot X} \text{Tr} \rho_{\text{bath}} J(0) J(x)$$

- The expression is LO in  $h$  but to all orders in  $g$

# In this talk

- Computing reliably the lepton asymmetry in a specific scenario is usually challenging (CP violation, oscillations, plasma physics)
- On the other hand, establishing
  - the **production rate** of RHNs
  - whether an existing asymmetry gets *washed out* allows to put constraints (or rule out) scenarios
- In this talk: the **production** and **washout** rates for GeV-scale RHNs (ARS leptogenesis) and for keV scale DM RHNs in the resonant case

# General structure of the evolution equations

- By applying the slow-fast factorization to this case one can obtain coupled equations for the **right-handed phase space distribution** and the **lepton asymmetry**

$$\begin{cases} \dot{f}_{I\mathbf{k}} &= \gamma_{I\mathbf{k}} (n_{\text{F}}(E_I) - f_{I\mathbf{k}}) \\ \dot{n}_a &= -\gamma_{ab} n_b \end{cases}$$

- The **equilibration and washout rates** are related to the spectral function of the SM current  $j_a = \tilde{\phi}^\dagger a_L l_a$
- Detailed derivation and structure, accounting for helicity and flavor effects, in **JG Laine JHEP1705 (2017)**

# GeV-scale production and washout rates

- Three relevant scales:  $M$ ,  $T$  and  $T_{EW} \sim 160$  GeV
- For  $M \sim \text{GeV}$   $\pi T \gg M$  down to  $\sim 5$  GeV
- Previous calculations in the **symmetric phase** for all kinematic ranges
  - $M \gg \pi T$ : Salvio Lodone Strumia (2011), Laine Schröder (2012), Biondini Brambilla Escobedo Vairo (2012),
  - $M \lesssim \pi T$ : Garbrecht Glowina Herranen (2013), Laine (2013), Anisimov Besak Bödeker (2010-12), Ghisoiu Laine (2014)
- In this talk  $\pi T \gg M$  in the **broken phase** (new) and in **the symmetric phase**  
JG Laine JCAP1607 (2016)

# The rates in detail

$$\Pi_{\mathbf{E}}(K) \equiv \text{Tr} \left\{ iK \int_0^{1/T} d\tau \int_{\mathbf{x}} e^{iK \cdot X} \left\langle (\tilde{\phi}^\dagger a_L l)(X) (\bar{l} a_R \tilde{\phi})(0) \right\rangle_T \right\}$$

$$\rho(K) \equiv \text{Im} \Pi_{\mathbf{E}}(K) |_{k_n \rightarrow -i(k_0 + i\epsilon)}$$

- RHN equilibration rate

$$\dot{f}_{I\mathbf{k}} = \gamma_{I\mathbf{k}} (n_{\text{F}}(E_I) - f_{I\mathbf{k}}) + \mathcal{O}[(n_{\text{F}} - f_{I\mathbf{k}})^2, n_a^2]$$

$$\gamma_{I\mathbf{k}} = \sum_a \frac{|h_{Ia}|^2 \rho(K)}{E_I} + \mathcal{O}(h^4)$$

Approach to equilibrium of the RHN phase space distribution (on-shell RHNs,  $E_I = (\mathbf{k}^2 + M^2)^{1/2}$ )

Bödeker Sangel Wörmann **PRD93** (2015)

# The rates in detail

$$\Pi_E(K) \equiv \text{Tr} \left\{ iK \int_0^{1/T} d\tau \int_{\mathbf{x}} e^{iK \cdot X} \left\langle (\tilde{\phi}^\dagger a_L l)(X) (\bar{l} a_R \tilde{\phi})(0) \right\rangle_T \right\}$$

$$\rho(K) \equiv \text{Im} \Pi_E(K) |_{k_n \rightarrow -i(k_0 + i\epsilon)}$$

- **Washout rate** for the **lepton number** for flavour  $a$

$$\dot{n}_a = -\gamma_{ab} n_b + \mathcal{O}[n_a(n_F - f_{I\mathbf{k}}), n_a^3]$$

$$\gamma_{ab} = - \sum_I \int \frac{d^3 k}{(2\pi)^3} \frac{2n'_F(E_I) |h_{Ia}|^2 \rho(K)}{E_I} \Xi_{ab}^{-1} + \mathcal{O}(h^4)$$

Depends on the **susceptibility**  $\Xi_{ab} = \partial n_a / \partial \mu_b |_{\mu_b=0}$

not diagonal because of charge neutrality constraints

Bödeker Laine **JCAP05 (2014)**

# Computing $\rho$

- In the broken phase the Higgs e.v.  $v > 0$ . We consider the parametric range  $T \gtrsim v$ , so that **thermal masses** ( $O(gT)$ ) and **Higgs mechanism masses** ( $O(gv)$ ) are of the same order. In practice
$$30 \text{ GeV} \lesssim T \lesssim 160 \text{ GeV}$$
where  $g = (g_1, g_2, h_t, \lambda^{1/2})$  (parametrically equivalent)
- In this region  $M_I \approx gT$
- We also consider  $m_W \gtrsim \pi T$  to cover the low-temperature region down to 5 GeV



$$\Pi_E(K) \equiv \text{Tr} \left\{ iK \int_0^{1/T} d\tau \int_{\mathbf{x}} e^{iK \cdot X} \left\langle (\tilde{\phi}^\dagger a_L l)(X) (\bar{l} a_R \tilde{\phi})(0) \right\rangle_T \right\}$$

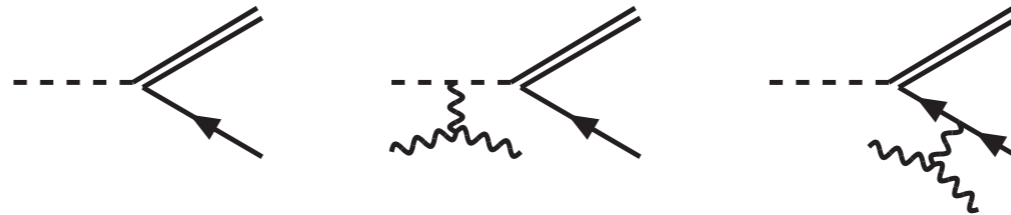
- The Higgs doublet can be a **propagating d.o.f.** (Higgs or Goldstone) or an **expectation value insertion**.

Distinction into **direct** and **indirect** processes

	Direct	Indirect
$1 \leftrightarrow 2$	<p style="text-align: center;">and others, and crossings</p>	<p style="text-align: center;">and others, and crossings</p>
$2 \leftrightarrow 2$	<p style="text-align: center;">and others, and crossings</p>	<p style="text-align: center;">and others, and crossings</p>

- Only the sum is gauge invariant. Feynman  $R_\xi$  gauge simplest
- Direct processes give  $\rho \sim g^2 T^2$ . Indirect processes can have a near-resonant enhancement (hold on)

# Direct $1 \leftrightarrow 2$ processes



- Since all masses are  $O(gT)$ , tree level processes (if possible) are  $\sim m^2 \sim g^2 T^2$  and collinear
- Long formation times  $O(1/g^2 T)$  imply that soft scatterings, at rate  $g^2 T$ , need to be resummed to all orders  $\Rightarrow$  Landau-Pomeranchuk-Migdal (LPM) effect  
Long QCD history (BDMPS, AMY). Introduced for RHNs in the *symmetric phase* in Anisimov Besak Bödeker **JCAP03** (2011), Besak Bödeker **JCAP03** (2012), Ghisoiu Laine **JCAP12** (2014)

# Symmetric phase LPM

- In the **symmetric phase**

$$\rho(K)^{\text{LPM}} = \frac{1}{16\pi} \int_{-\infty}^{\infty} d\omega [1 - n_{\text{F}}(\omega) + n_{\text{B}}(k_0 - \omega)] \frac{k_0}{k_0 - \omega} \\ \times \lim_{\mathbf{y} \rightarrow 0} 4 \left\{ \frac{M^2}{k_0^2} \text{Im} [g(\mathbf{y})] + \frac{1}{\omega^2} \text{Im} [\nabla_{\perp} \cdot \mathbf{f}(\mathbf{y})] \right\}$$

- The functions  $\mathbf{f}$  and  $g$  encode the resummed soft interactions through

$$\hat{H} \equiv -\frac{M^2}{2k_0} + \frac{m_l^2 - \nabla_{\perp}^2}{2\omega} + \frac{m_{\phi}^2 - \nabla_{\perp}^2}{2(k_0 - \omega)} - i\Gamma(y)$$

$$(\hat{H} + i0^+) g(\mathbf{y}) = \delta^{(2)}(\mathbf{y}), \quad (\hat{H} + i0^+) \mathbf{f}(\mathbf{y}) = -\nabla_{\perp} \delta^{(2)}(\mathbf{y})$$

where  $m_l$  and  $m_{\phi}$  are the thermal masses of leptons and scalars and the soft interactions are ( $m_{\text{E}i}$  screening masses)

$$\Gamma(y) = \frac{T}{8\pi} \sum_{i=1}^2 d_i g_i^2 \left[ \ln \left( \frac{m_{\text{E}i} y}{2} \right) + \gamma_{\text{E}} + K_0(m_{\text{E}i} y) \right]$$

# Symmetric phase LPM

- In **QCD** (photon/dilepton production)

$$\rho(K)^{\text{LPM}} = \frac{N_c}{\pi} \int_{-\infty}^{\infty} d\omega [1 - n_F(\omega) - n_F(k_0 - \omega)]$$

$$\times \lim_{\mathbf{y} \rightarrow \mathbf{0}} \left\{ \frac{M^2}{k_0^2} \text{Im} [g(\mathbf{y})] + \left( \frac{1}{2\omega^2} + \frac{1}{2(k_0 - \omega)^2} \right) \text{Im} [\nabla_{\perp} \cdot \mathbf{f}(\mathbf{y})] \right\}$$

- The functions  $\mathbf{f}$  and  $g$  encode the resummed soft interactions through

$$\hat{H} \equiv -\frac{M^2}{2k_0} + \frac{m_q^2 - \nabla_{\perp}^2}{2\omega} + \frac{m_q^2 - \nabla_{\perp}^2}{2(k_0 - \omega)} - i\Gamma(y)$$

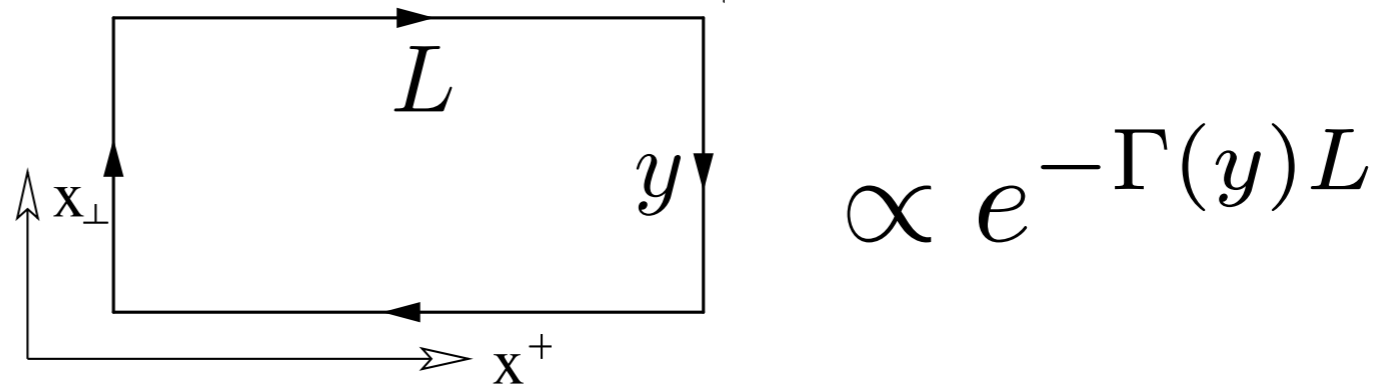
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where  $m_q$  is the thermal mass of quarks and the soft interactions are ( $m_D$  SU(3) screening mass)

$$\Gamma(y) = \frac{g^2 C_F T}{2\pi} \left[ \ln \left( \frac{m_D y}{2} \right) + \gamma_E + K_0(m_D y) \right]$$



# The soft interactions



BDMPS-Z, Wiedemann, Casalderrey-Solana Salgado, D'Eramo Liu

Rajagopal, Benzke Brambilla Escobedo Vairo

- All points at spacelike or lightlike separation, only preexisting correlations
- Soft contribution becomes Euclidean! [Caron-Huot PRD79 \(2008\)](#)
- Can be “easily” computed in perturbation theory
- Possible lattice QCD measurements [Laine Rothkopf JHEP1307 \(2013\)](#) [Panero Rummukainen Schäfer PRL112 \(2014\)](#)



# Euclideanization of light-cone soft physics

- For  $t/x_z=0$ : equal time Euclidean correlators.

$$G_{rr}(t=0, \mathbf{x}) = \int_p G_E(\omega_n, p) e^{i\mathbf{p}\cdot\mathbf{x}}$$



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- Consider the more general case  $|t/x^z| < 1$

$$G_{rr}(t, \mathbf{x}) = \int dp^0 dp^z d^2 p_\perp e^{i(p^z x^z + \mathbf{p}_\perp \cdot \mathbf{x}_\perp - p^0 x^0)} \left( \frac{1}{2} + n_B(p^0) \right) (G_R(P) - G_A(P))$$



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- Change variables to  $\tilde{p}^z = p^z - p^0(t/x^z)$

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- Retarded functions are analytical in the upper plane in any timelike or lightlike variable  $\Rightarrow G_R$  analytical in  $p^0$

$$G_{rr}(t, \mathbf{x}) = T \sum_n \int dp^z d^2 p_\perp e^{i(p^z x^z + \mathbf{p}_\perp \cdot \mathbf{x}_\perp)} G_E(\omega_n, p_\perp, p^z + i\omega_n t/x^z)$$



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- Soft physics dominated by  $n=0$  (and  $t$ -independent)  
 $\Rightarrow$ EQCD!

Caron-Huot **PRD79 (2009)**



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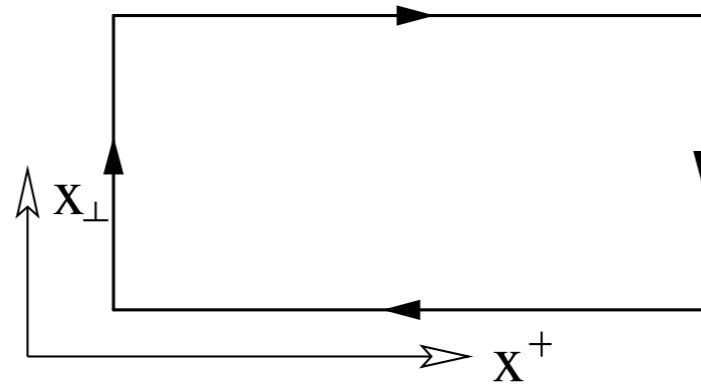
$$G_{rr}(t, \mathbf{x})_{\text{soft}} = T \int d^3 p e^{i\mathbf{p}\cdot\mathbf{x}} G_E(\omega_n = 0, \mathbf{p})$$

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Caron-Huot **PRD79 (2009)**



# Euclideanization of light-cone soft physics



$$\propto e^{-\Gamma(y)L}$$

- At leading order

$$\Gamma(y) \propto T \int \frac{d^2 q_{\perp}}{(2\pi)^2} (1 - e^{i\mathbf{x}_{\perp} \cdot \mathbf{q}_{\perp}}) G_E^{++}(\omega_n = 0, q_z = 0, q_{\perp}) = T \int \frac{d^2 q_{\perp}}{(2\pi)^2} (1 - e^{i\mathbf{x}_{\perp} \cdot \mathbf{q}_{\perp}}) \left( \frac{1}{q_{\perp}^2} - \frac{1}{q_{\perp}^2 + m_D^2} \right)$$

- Agrees with the earlier sum rule in [Aurenche Gelis Zaraket JHEP0205 \(2002\)](#)

# Symmetric phase LPM

- In the **symmetric phase**

$$\rho(K)^{\text{LPM}} = \frac{1}{16\pi} \int_{-\infty}^{\infty} d\omega [1 - n_{\text{F}}(\omega) + n_{\text{B}}(k_0 - \omega)] \frac{k_0}{k_0 - \omega} \\ \times \lim_{\mathbf{y} \rightarrow 0} 4 \left\{ \frac{M^2}{k_0^2} \text{Im} [g(\mathbf{y})] + \frac{1}{\omega^2} \text{Im} [\nabla_{\perp} \cdot \mathbf{f}(\mathbf{y})] \right\}$$

- The functions  $\mathbf{f}$  and  $g$  encode the resummed soft interactions through

$$\hat{H} \equiv -\frac{M^2}{2k_0} + \frac{m_l^2 - \nabla_{\perp}^2}{2\omega} + \frac{m_{\phi}^2 - \nabla_{\perp}^2}{2(k_0 - \omega)} - i\Gamma(y)$$

$$(\hat{H} + i0^+) g(\mathbf{y}) = \delta^{(2)}(\mathbf{y}), \quad (\hat{H} + i0^+) \mathbf{f}(\mathbf{y}) = -\nabla_{\perp} \delta^{(2)}(\mathbf{y})$$

where  $m_l$  and  $m_{\phi}$  are the thermal masses of leptons and scalars and the soft interactions are ( $m_{\text{E}i}$  screening masses)

$$\Gamma(y) = \frac{T}{8\pi} \sum_{i=1}^2 d_i g_i^2 \left[ \ln \left( \frac{m_{\text{E}i} y}{2} \right) + \gamma_{\text{E}} + K_0(m_{\text{E}i} y) \right]$$

# Broken phase LPM

$$\rho(K)^{\text{LPM}} = \frac{1}{16\pi} \int_{-\infty}^{\infty} d\omega [1 - n_{\text{F}}(\omega) + n_{\text{B}}(k_0 - \omega)] \frac{k_0}{k_0 - \omega} \\ \times \lim_{\mathbf{y} \rightarrow \mathbf{0}} 4 \left\{ \frac{M^2}{k_0^2} \text{Im} [g(\mathbf{y})] + \frac{1}{\omega^2} \text{Im} [\nabla_{\perp} \cdot \mathbf{f}(\mathbf{y})] \right\}$$

- Broken electroweak symmetry implies
  - Broken degeneracy of scalar masses  $m_{\phi}^2 \rightarrow \text{diag}(m_{\phi_0}^2, m_{\phi_3}^2, m_{\phi_1}^2)$
  - Soft interactions become sensitive to “vacuum” masses and to the electromagnetic charges

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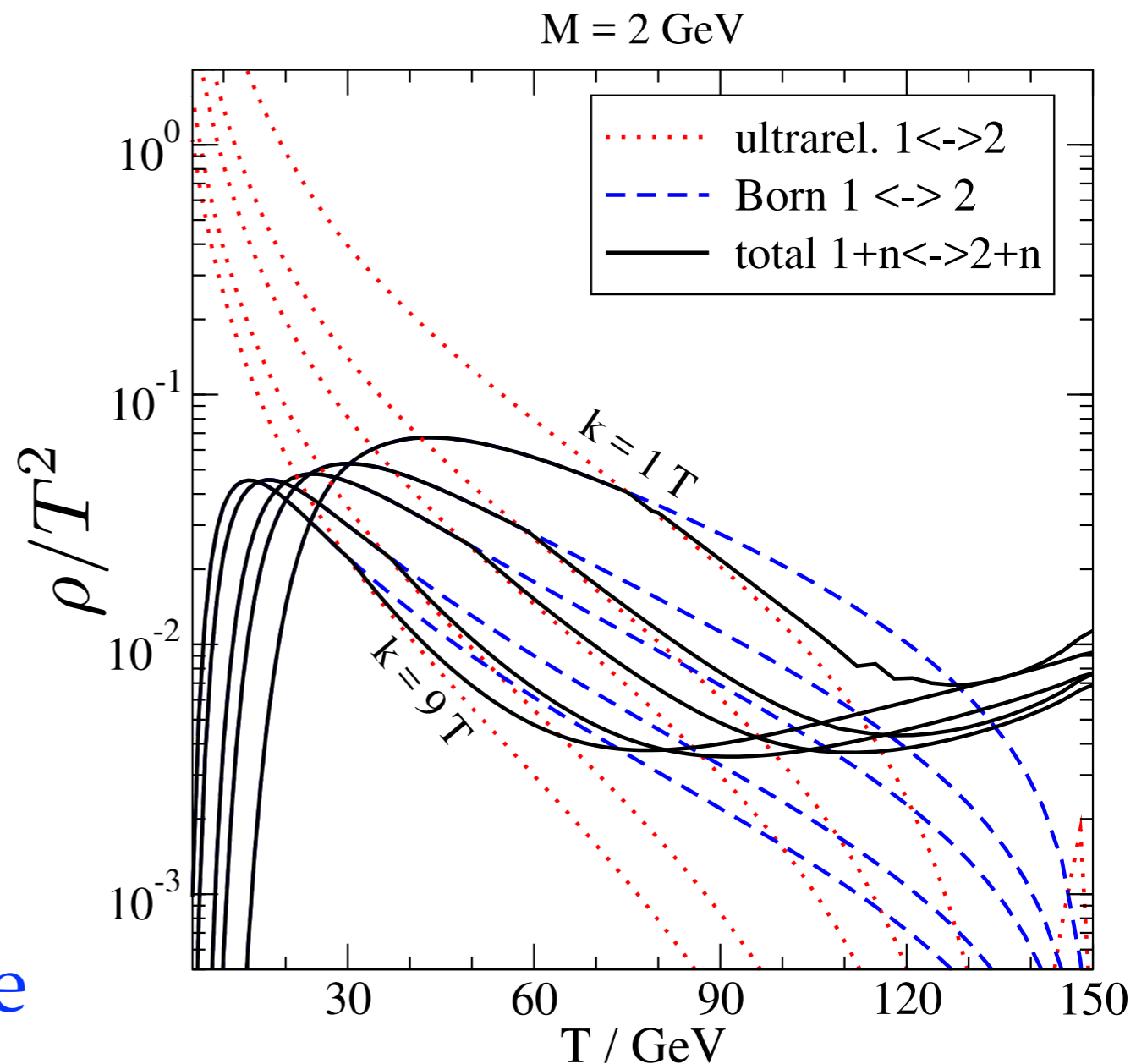
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- Soft interactions become sensitive to “vacuum” masses and to the electromagnetic charges

⇒ Matrix structure between the  $\nu\phi_0$ ,  $\nu\phi_3$  and  $e\phi_{\pm}$  states

$$\Gamma_{3 \times 3} = \begin{pmatrix} 2\Gamma_w(0) + \Gamma_z(0) & -\Gamma_z(y) & -2\Gamma_w(y) \\ -\Gamma_z(y) & 2\Gamma_w(0) + \Gamma_z(0) & -2\Gamma_w(y) \\ -\Gamma_w(y) & -\Gamma_w(y) & 2\Gamma_w(0) + \Gamma_{z'}(0) - \Gamma_{z'}(y) \end{pmatrix}$$

# Direct $1 \leftrightarrow 2$ processes

- **Red**: tree level processes with collinear ( $m \ll T$ ) approx. Unphysical growth at low  $T$
- **Blue**: full tree level with  $m_l=0$ , proper  $m_\phi$ , accurate at low  $T$
- **Black**: full solution of the LPM equations at high  $T$ , manually switched to **blue** at low  $T$ . **Final  $1 \leftrightarrow 2$  result**





# Direct $2 \leftrightarrow 2$ processes



- As long as all external state masses are  $O(gT)$  or  $O(gv)$  they can be neglected at leading order ( $O(g^2T^2)$ ). Hence, no change with respect to the symmetric phase evaluation in Besak Bödeker [JCAP03 \(2012\)](#)

$$\int_{\text{ph. space}} f(p)f(p')(1 \pm f(k'))|\mathcal{M}|^2\delta^4(P + P' - K - K')$$

- Phase space convolution of **statistical functions** and **matrix elements**. HTL resummation needed for soft fermion exchange. Analyticity arguments lead to a simple form for the soft part of the result Besak Bödeker [JCAP03 \(2012\)](#) JG Hong Lu Kurkela Moore Teaney [JHEP05 \(2013\)](#)

# Direct $2 \leftrightarrow 2$ processes

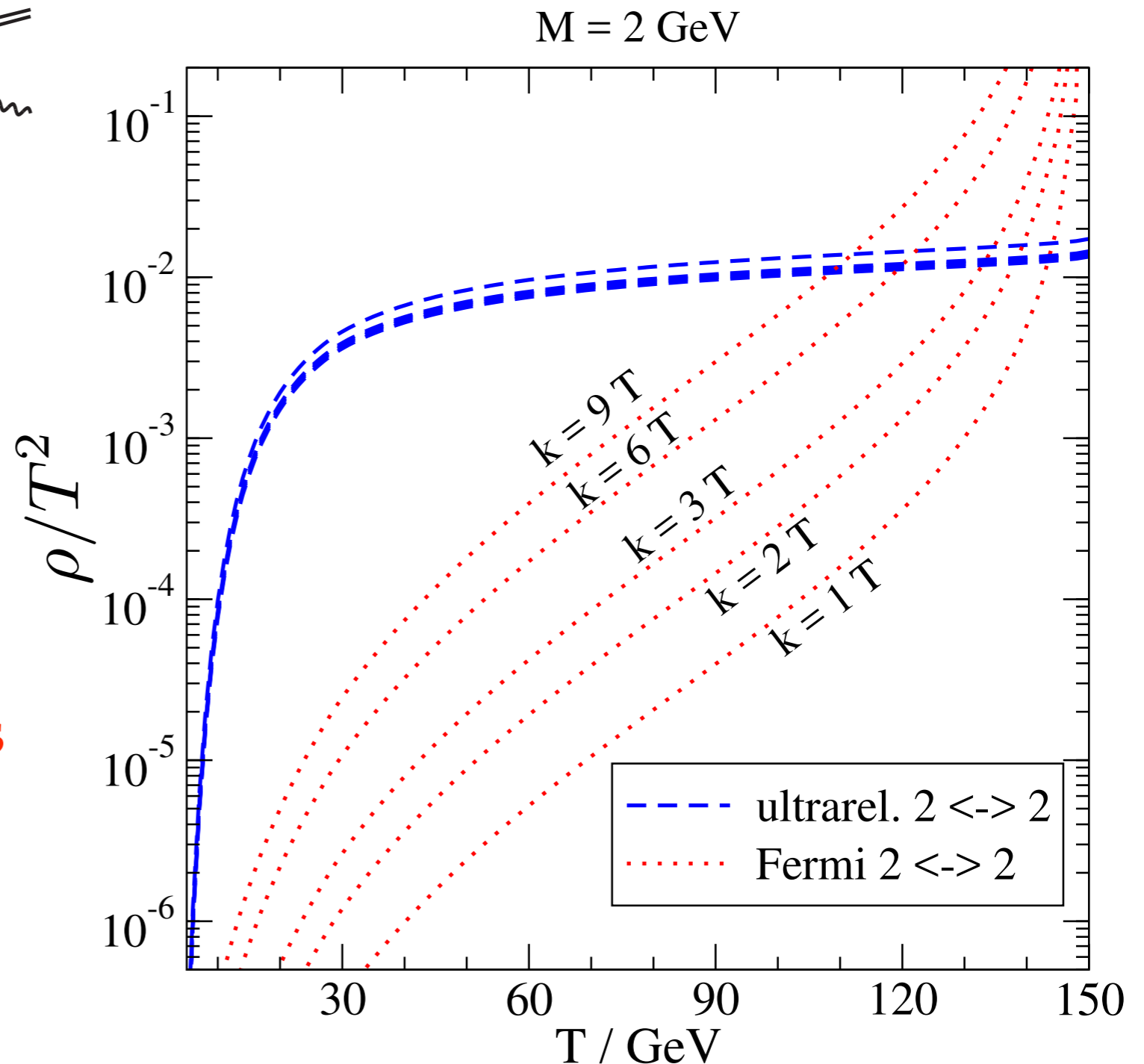


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- At **low**  $T < m_W$  initial state bosons (scalar or gauge) are very massive. We switch off the rate at low  $T$  by multiplying it for the  $W$  boson susceptibility
- The formally leading-order contribution at low  $T$  is scalar-mediated scatterings off  $b$  quarks. We find it is however negligible

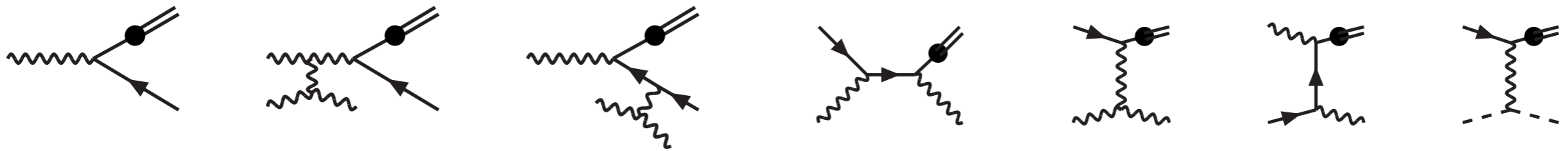
# Direct $2 \leftrightarrow 2$ processes



- Besak-Bödeker rate times the  $W$  boson susceptibility
- Scalar-mediated scatterings off  $b$  quarks in the Fermi limit



# Indirect processes



- In the indirect case  $\rho$  is directly proportional to the spf of active neutrinos, i.e.

$$\rho(K)^{\text{indir.}} = \frac{v^2}{2} \frac{M^2 \mathbf{2}K \cdot \text{Im } \Sigma}{(M^2 + \mathbf{2}K \cdot \text{Re } \Sigma)^2 + 4(K \cdot \text{Im } \Sigma)^2}$$

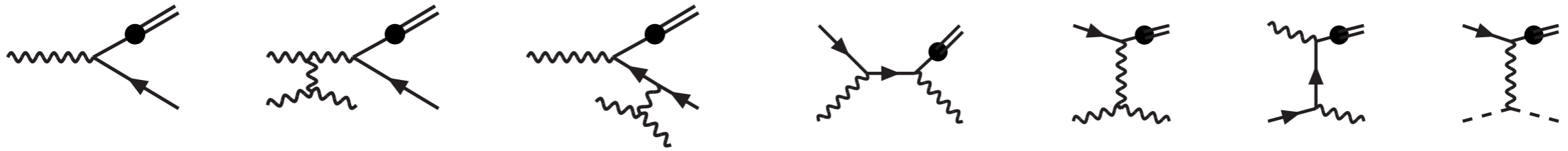
- Real part of the active neutrino self- energy

- At high  $T$   $\mathbf{2}K \cdot \text{Re } \Sigma = -m_l^2 \sim g^2 T^2$

- At low  $T$  (positive) matter potential

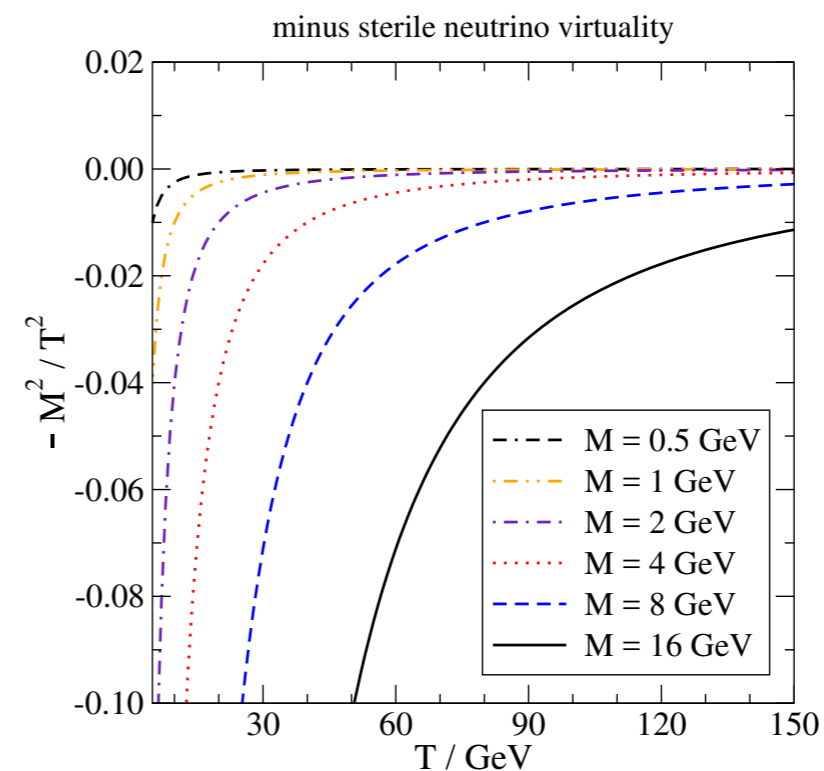
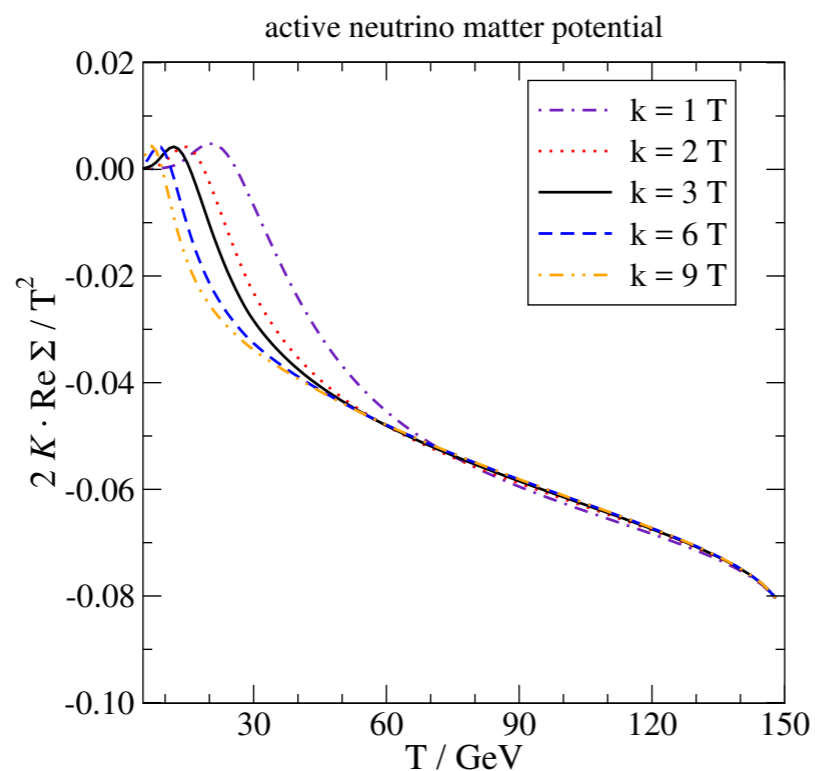
- (Broad) resonance

# Indirect processes

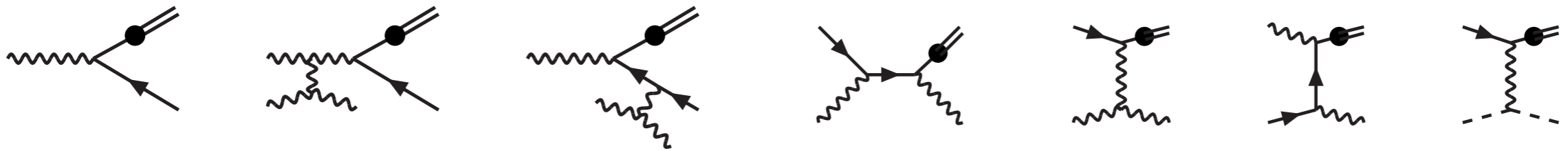


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# Indirect processes

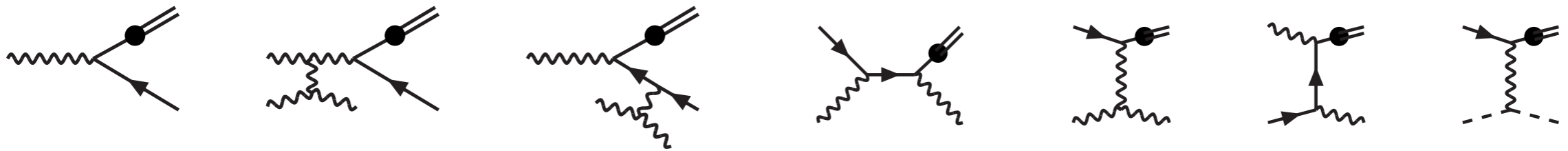


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- Imaginary part of the active neutrino self-energy: active neutrino width  $\mathbf{2}K \cdot \text{Im } \Sigma = k_0 \Gamma$
- At high  $T$  dominated by soft  $2 \leftrightarrow 2$  scatterings.  $\Gamma \sim g^2 T$  and thus (for  $M \sim gT$ )  $\rho \sim v^2$
- At low  $T$  dominated by  $1 \leftrightarrow 2$  decays of gauge bosons

# Indirect processes



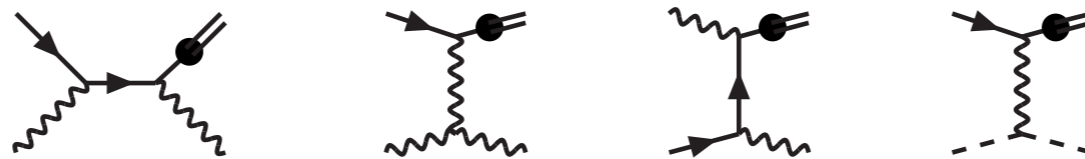
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$$\rho(K)^{\text{indir.}} = \frac{v^2}{2} \frac{M^2 \mathbf{2}K \cdot \text{Im } \Sigma}{(M^2 + \mathbf{2}K \cdot \text{Re } \Sigma)^2 + 4(K \cdot \text{Im } \Sigma)^2}$$

- Real part of the active neutrino self- energy
- Imaginary part of the active self energy
- Medium-modified mixing angle squared

$$\theta_{\text{med}}^2 = \frac{v^2}{2} \frac{M^2}{(M^2 + \mathbf{2}K \cdot \text{Re } \Sigma)^2 + 4(K \cdot \text{Im } \Sigma)^2}$$

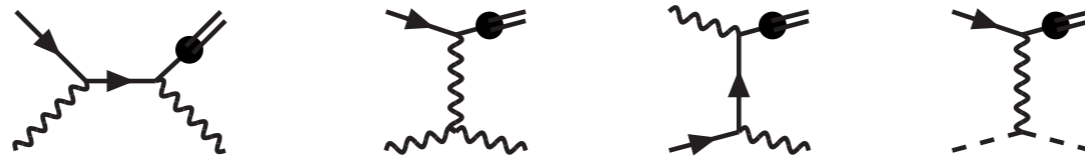
# Indirect $2 \leftrightarrow 2$ processes



- Naively  $\Gamma \sim g^4 T$ , but soft ( $Q \sim gT$ )  $t$ -channel gauge boson scatterings have a large enhancement. Need to resum the “vacuum” masses and Hard Thermal Loops
- Euclideanization ([Caron-Huot PRD82 \(2008\)](#)) still applicable. In the  $W$  exchange case
 
$$\Gamma_W^{\text{soft}} = \frac{g_2^2 T}{4\pi} \int_0^\infty dq_\perp q_\perp \left[ \frac{1}{q_\perp^2 + m_W^2} - \frac{1}{q_\perp^2 + m_W^2 + m_{E2}^2} \right]$$
 transverse Euclidean propagator (vacuum mass only) - longitudinal propagator (vacuum and  $SU(2)$  screening mass)
- $Z$  exchange more complicated (mixing of  $SU(2)_L$  and  $U(1)_Y$ ) but conceptually the same



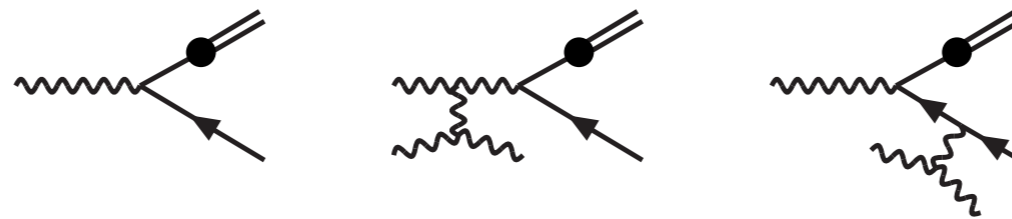
# Indirect $2 \leftrightarrow 2$ processes



$$\Gamma_W^{\text{soft}} = \frac{g_2^2 T}{4\pi} \int_0^\infty dq_\perp q_\perp \left[ \frac{1}{q_\perp^2 + m_W^2} - \frac{1}{q_\perp^2 + m_W^2 + m_{E2}^2} \right]$$

- At low  $T$  these approximations are inaccurate, they don't go into the Fermi limit
- We replace them with the Fermi limit results from [Asaka Laine Shaposhnikov JHEP01 \(2007\)](#) (in a more compact form, as the masses of all scatterers are negligible for  $T > 5$  GeV)

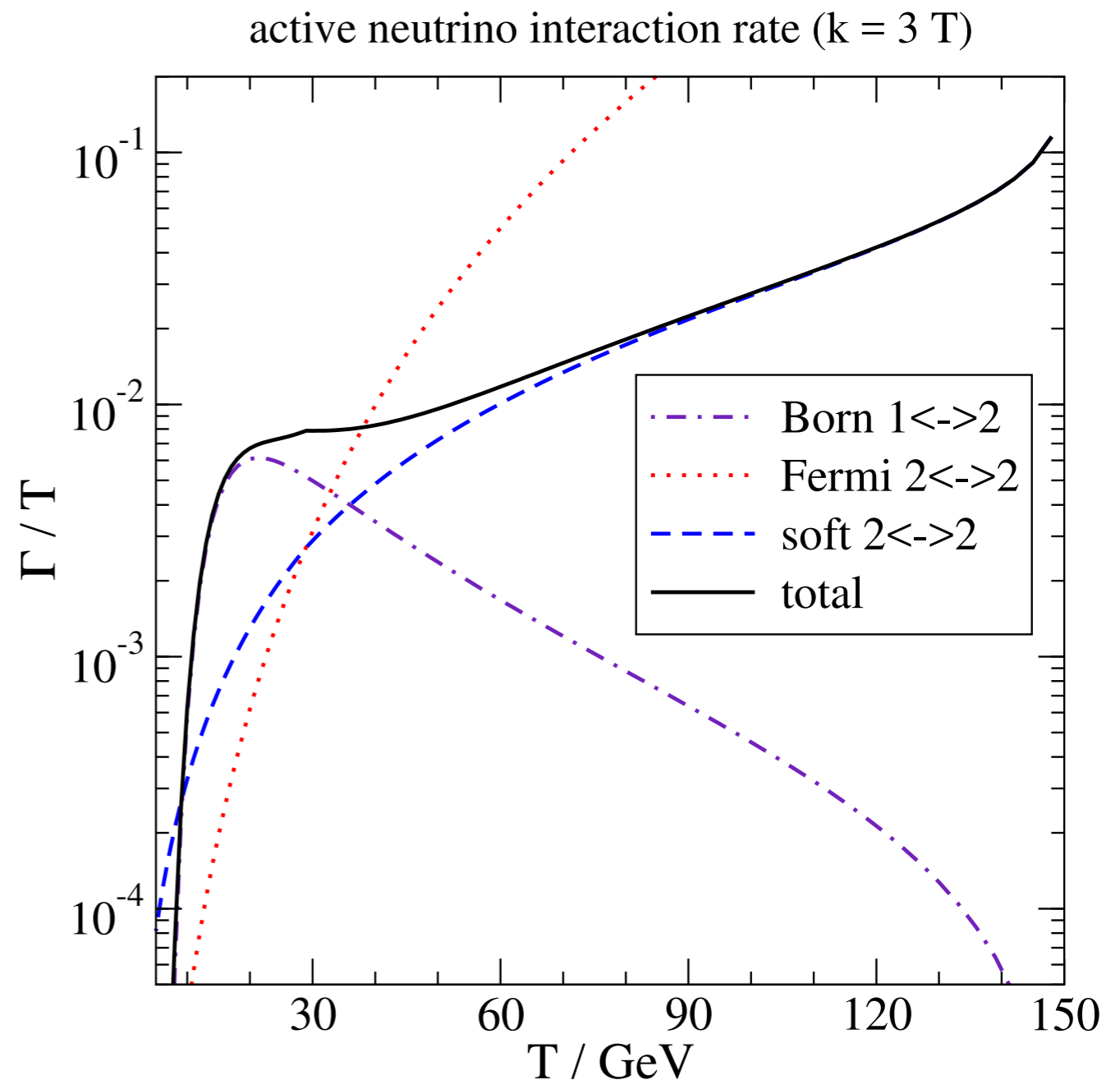
# Indirect $1 \leftrightarrow 2$ processes



- At **high**  $T$  they are very similar to the direct  $1 \leftrightarrow 2$  processes, with the scalar replaced by a gauge boson and the coupling  $h \rightarrow g$ . Hence  $k_0 \Gamma \sim g^2 m^2 \sim g^4 T^2$  and thus **negligible** w.r.t. the indirect  $2 \leftrightarrow 2$  processes
- At **low**  $T$  the LPM effect becomes negligible. The Born-level decays of gauge bosons into leptons  $k_0 \Gamma \sim g^2 m^2$  become the **leading contribution**, also w.r.t the indirect  $2 \leftrightarrow 2$  processes

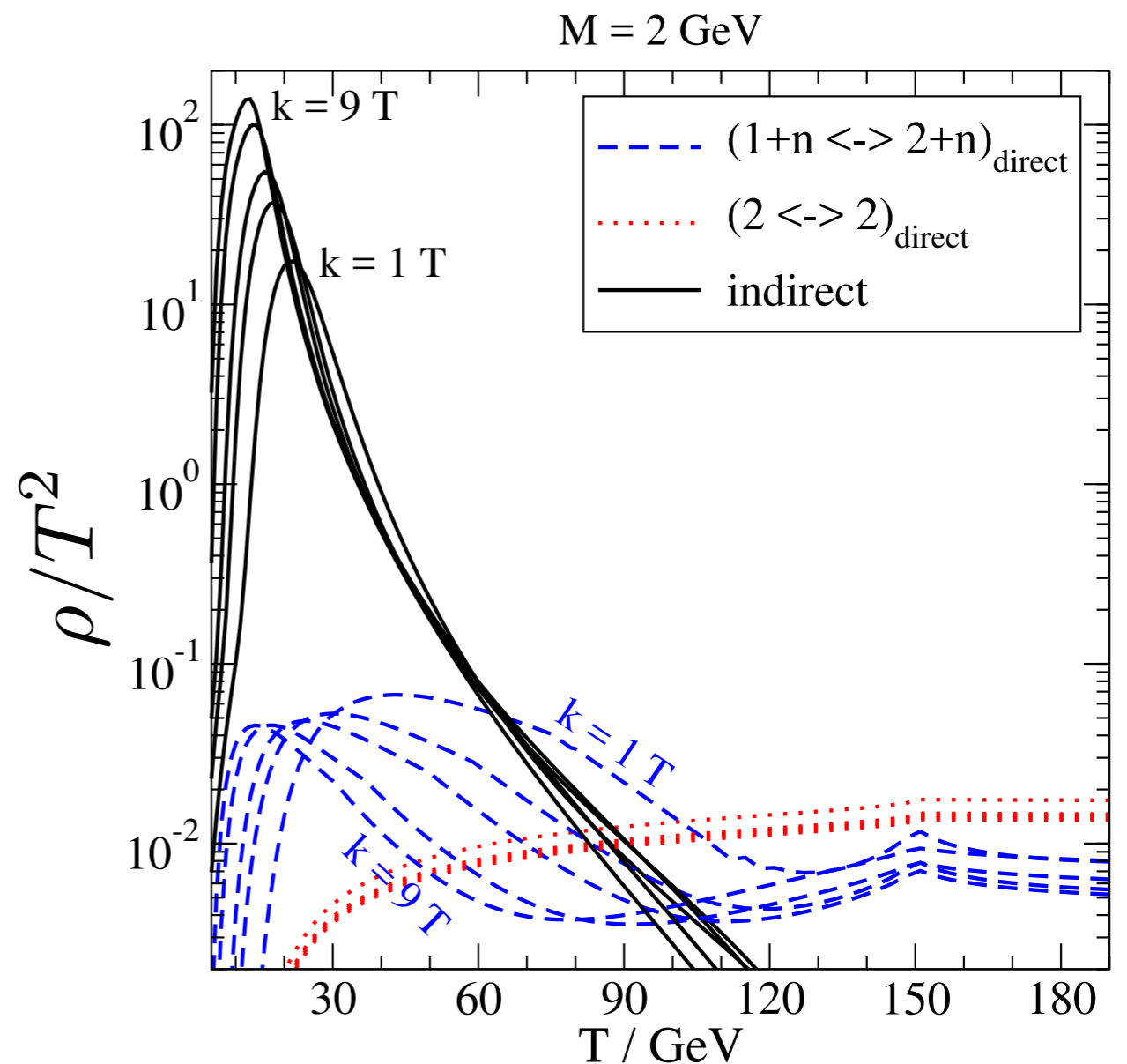
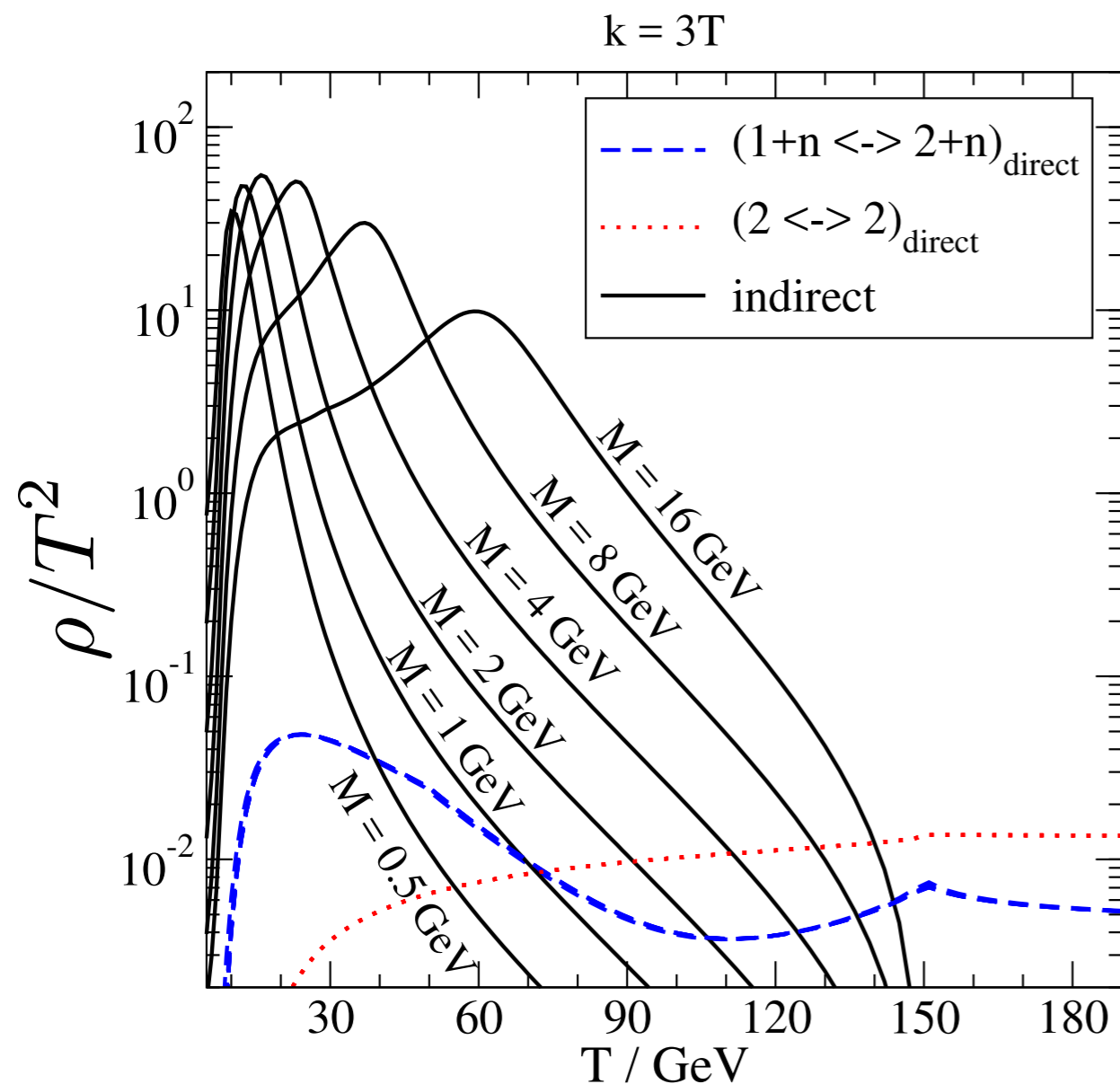
# Indirect processes

- Soft  $2 \leftrightarrow 2$  scatterings, leading at high  $T$
- $2 \leftrightarrow 2$  scatterings in the Fermi limit, accurate but subleading at low  $T$
- Born  $1 \leftrightarrow 2$  rate, leading at low  $T$ , inaccurate but negligible at high  $T$
- **Total:**  $1 \leftrightarrow 2$  + the appropriate (smallest)  $2 \leftrightarrow 2$



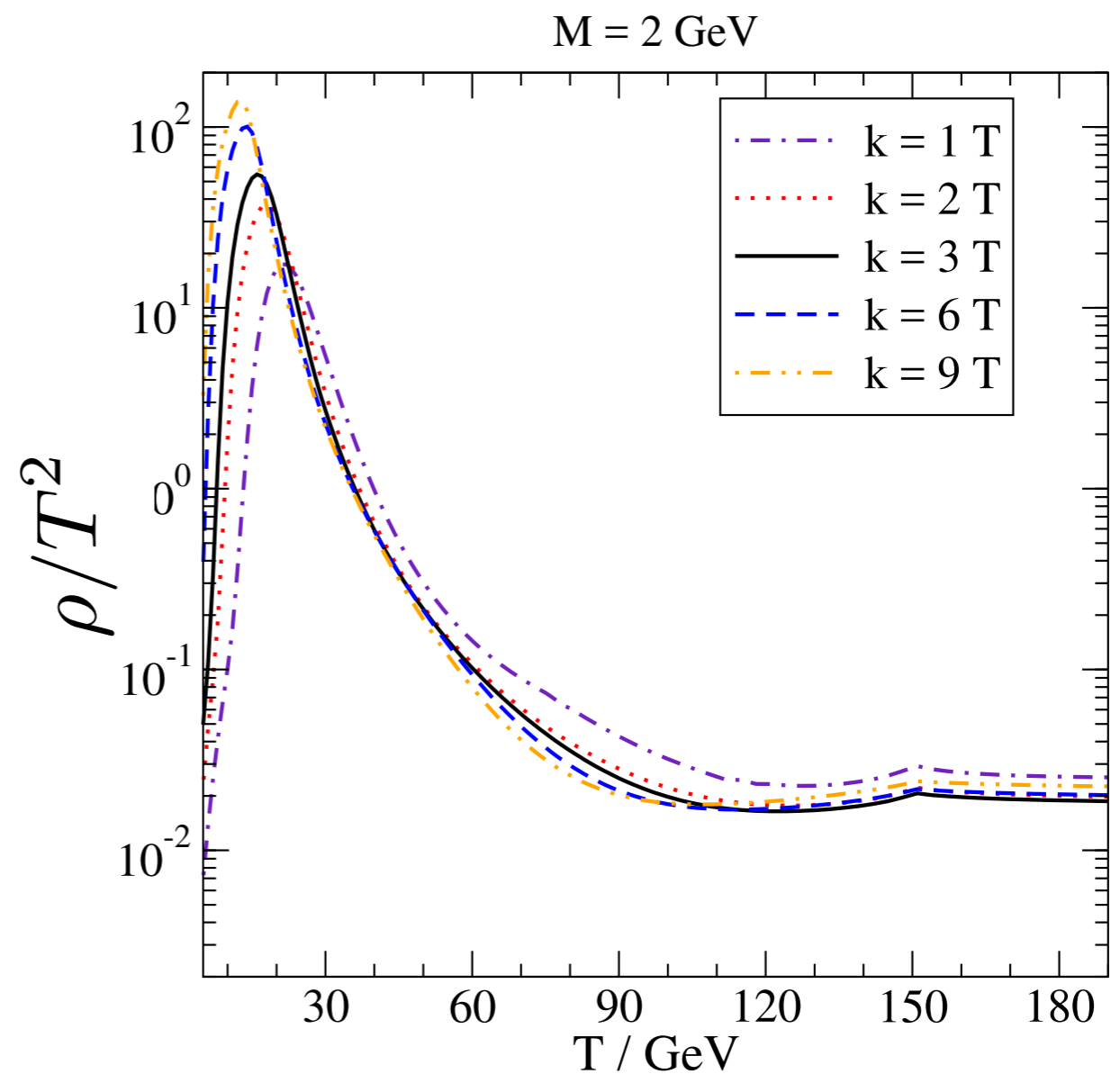
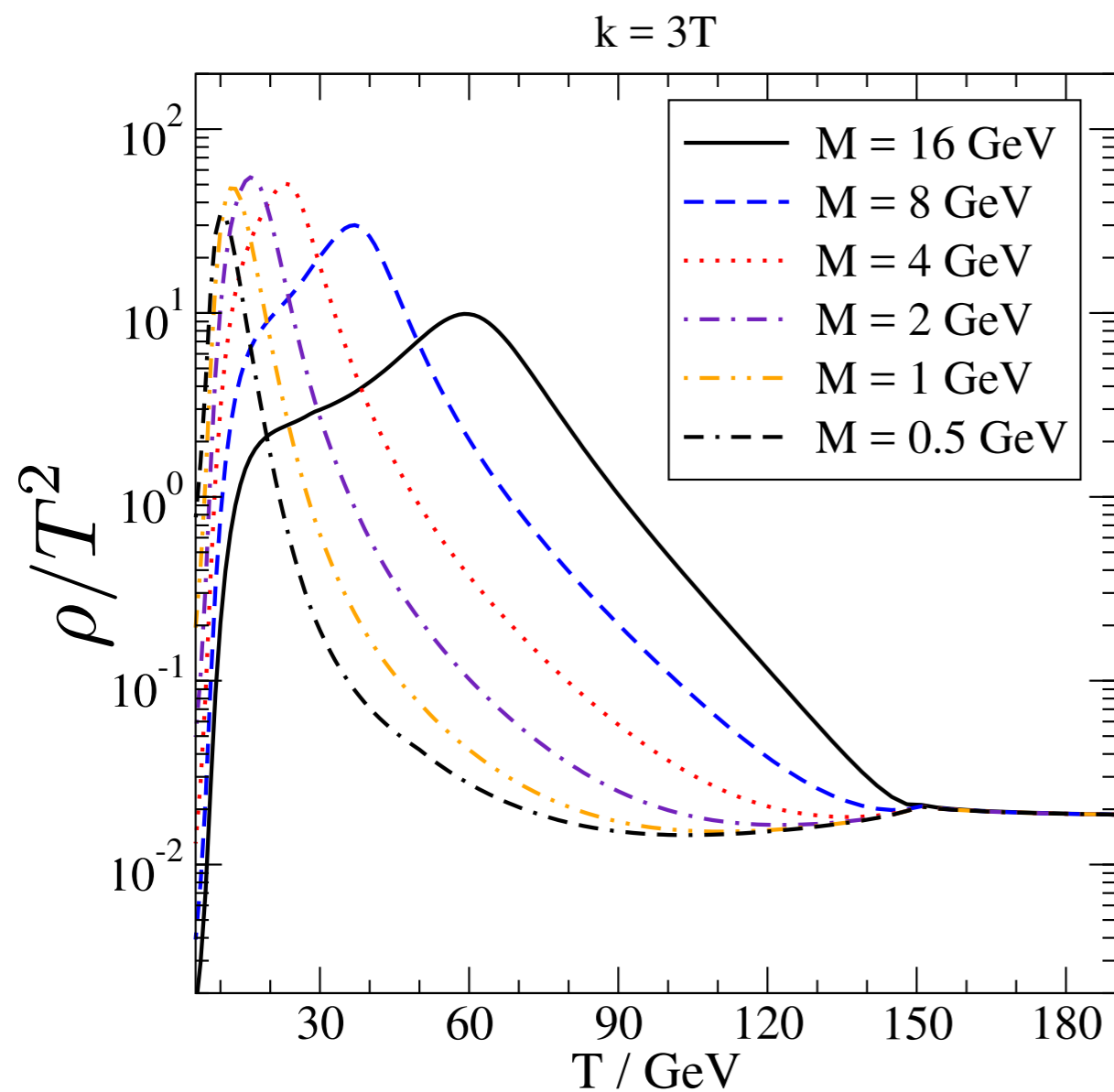
# Results

- Indirect processes rapidly dominate and peak at low  $T$  (in our 1-loop parameter fixing  $T_{EW} \approx 150$  GeV)



# Results

- Spectra available for download at <http://www.laine.itp.unibe.ch/production-midT/>



# Cosmological implications

- Compare the equilibration and washout rates to the Hubble rate

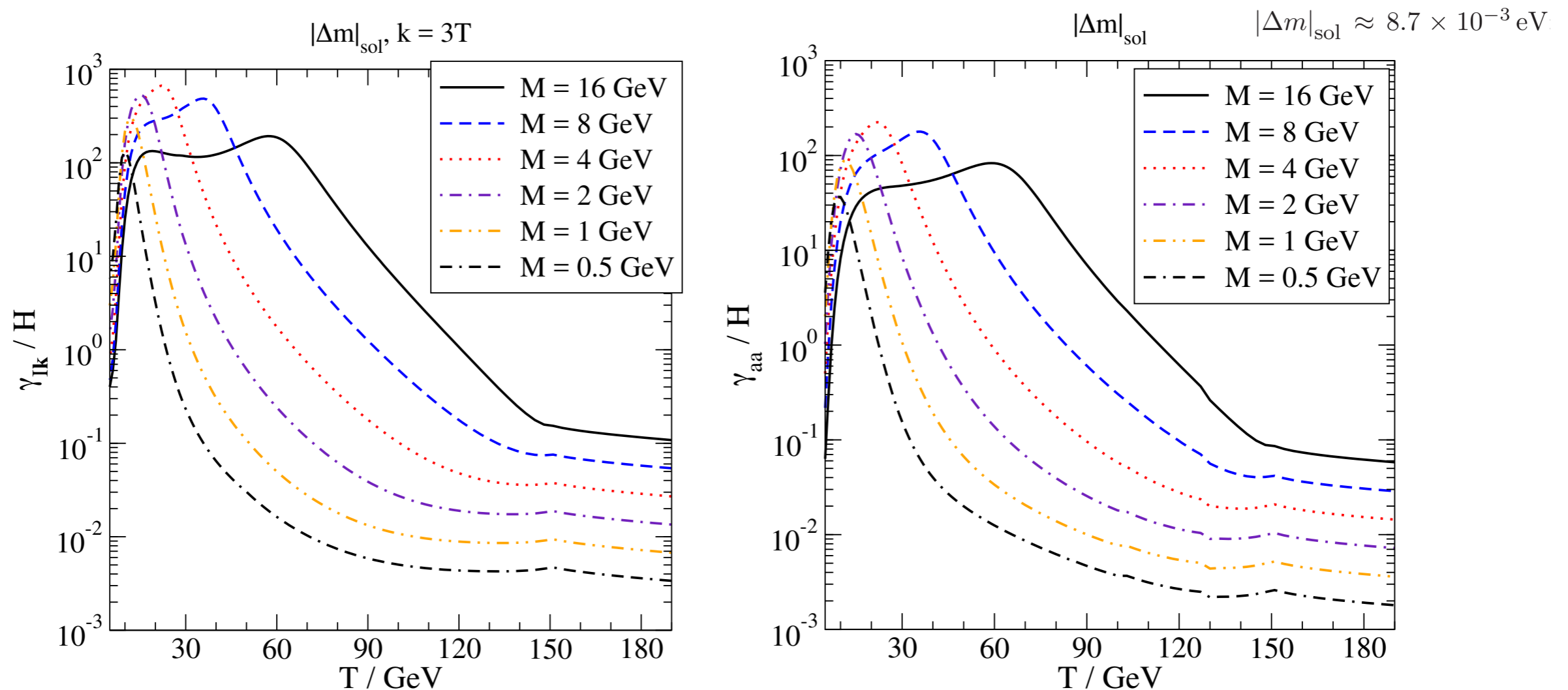
$$\gamma_{I\mathbf{k}} = \sum_a \frac{|h_{Ia}|^2 \rho(K)}{E_I}$$

$$\gamma_{ab} = - \sum_I \int \frac{d^3k}{(2\pi)^3} \frac{2n'_F(E_I) |h_{Ia}|^2 \rho(K)}{E_I} \Xi_{ab}^{-1}$$

$$H = \sqrt{\frac{8\pi e}{3m_{\text{Pl}}^2}}$$

- Fix the RHNs Yukawa couplings in a seesaw scenario with hierarchical neutrinos, with only one Yukawa coupling contributing to a given mass difference  $|\Delta m| = |h_{Ia}|^2 v^2 / (2M)$ .

# Cosmological implications



- **Leptogenesis** possible because no equilibrium at  $T \gtrsim 130 \text{ GeV}$
- **Resonant generation** of keV scale RHNs hindered by washout at  $T \lesssim 30 \text{ GeV}$ . Fine-tuned windows still possible. Helicity could also play a role [Eijima Shaposhnikov PLB771 \(2017\)](#)

# Resonant sterile neutrino production

- If somehow a significant lepton asymmetry survive, how large does it need to be to account for DM abundance? And how large should the mixing angles be?
- To answer these questions, derive and solve the coupled equations [Laine Shaposhnikov \(2008\)](#), [JG Laine \(2015\)](#)

$$\dot{f}_k = \frac{1}{2} \sum_a \left\{ [n_F(E_1 + \mu_a) - f_k] R_a^-(k) + [n_F(E_1 - \mu_a) - f_k] R_a^+(k) \right\},$$

$$\dot{n}_a = \int_{\mathbf{k}} \left\{ [n_F(E_1 + \mu_a) - f_k] R_a^-(k) - [n_F(E_1 - \mu_a) - f_k] R_a^+(k) \right\}$$

**Mixing rates** for interactions with leptons and antileptons in the presence of asymmetry

$$R_a^\pm(k) \equiv \frac{|h_{1a}|^2 \text{Tr} [\mathcal{K} \rho_{aa} (\pm \mathcal{K}) a_R]}{E_1}$$



# Resonant sterile neutrino production

- Mixing rates for interactions with leptons and antileptons in the presence of asymmetry

$$R_a^-(k) \approx \frac{|M_D|_{1a}^2 M_1^2 \Gamma}{[M_1^2 + 2E_1(b+c) + (b+c)^2]^2 + E_1^2 \Gamma^2}, \quad R_a^+(k) = R_a^-(k)|_{c \rightarrow -c}.$$

- **Active neutrino width** from Fermi-type processes. For small asymmetry  $\mu$ -independent
- For small asymmetry **matter potential  $b$**  is  $\mu$ -independent
- For small asymmetry **matter potential  $c$**  is linear in  $\mu$  and causes resonance

$$c = \sqrt{2}G_F \left[ 2n_{\nu_a} + \sum_{b \neq a} n_{\nu_b} + \left( \frac{1}{2} + 2 \sin^2 \theta_w \right) n_{e_a} - \left( \frac{1}{2} - 2 \sin^2 \theta_w \right) \sum_{b \neq a} n_{e_b} \right. \\ \left. + \left( \frac{1}{2} - \frac{4}{3} \sin^2 \theta_w \right) \sum_{i=u,c} n_i - \left( \frac{1}{2} - \frac{2}{3} \sin^2 \theta_w \right) \sum_{i=d,s,b} n_i \right],$$

# Resonant sterile neutrino production

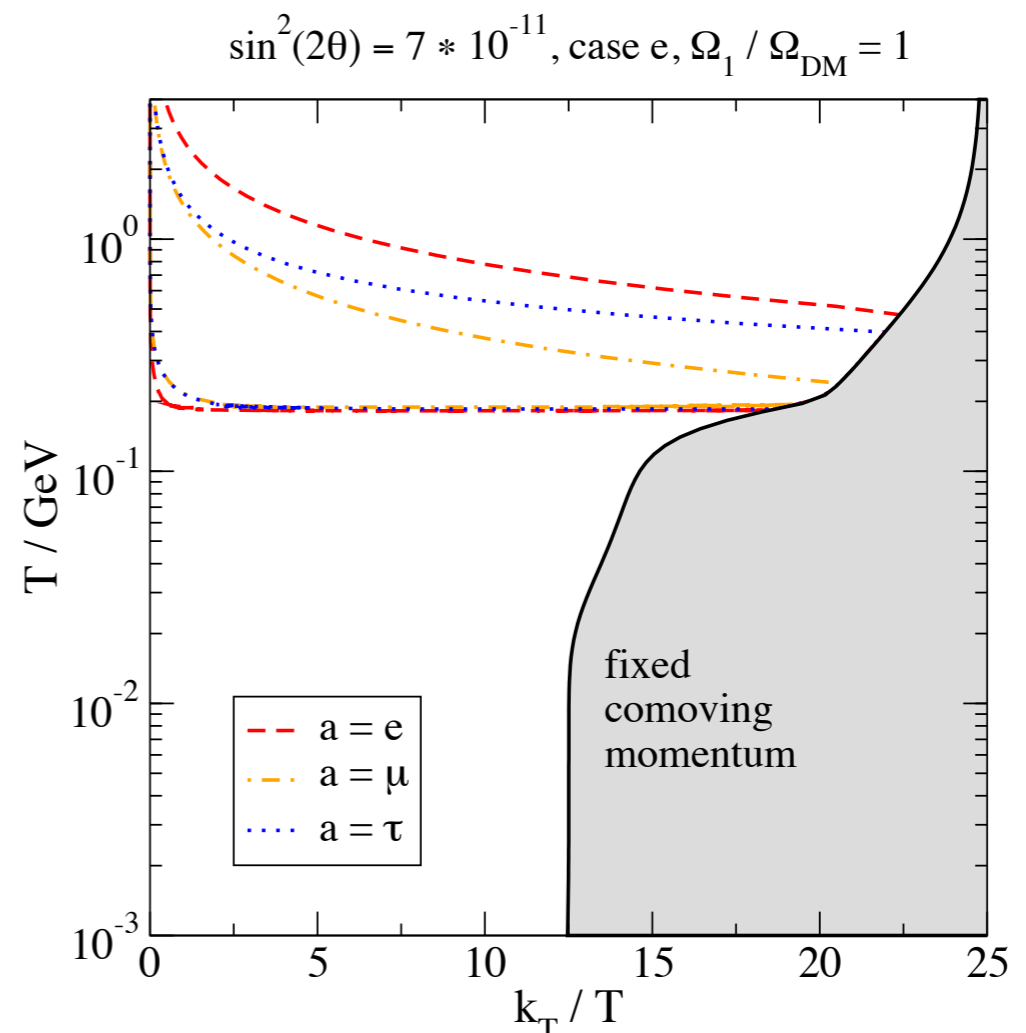
- Solve numerically the coupled equations (with Hubble expansion and QCD transition) from  $T=5$  GeV down to  $T_*=1$  MeV. Very narrow resonance, numerically tricky.

JG Laine [JHEP1511 \(2015\)](#)

$$k_T \equiv k_* \left[ \frac{s(T)}{s(T_*)} \right]^{1/3}$$

- Two resonances in most of the range. The second one, approximately at the QCD transition, is the strongest

only  $n_{\nu_e} \neq 0$  at  $T = T_{\max}$ ; only  $h_{1e} \neq 0$ ; non-equilibrated active flavours.



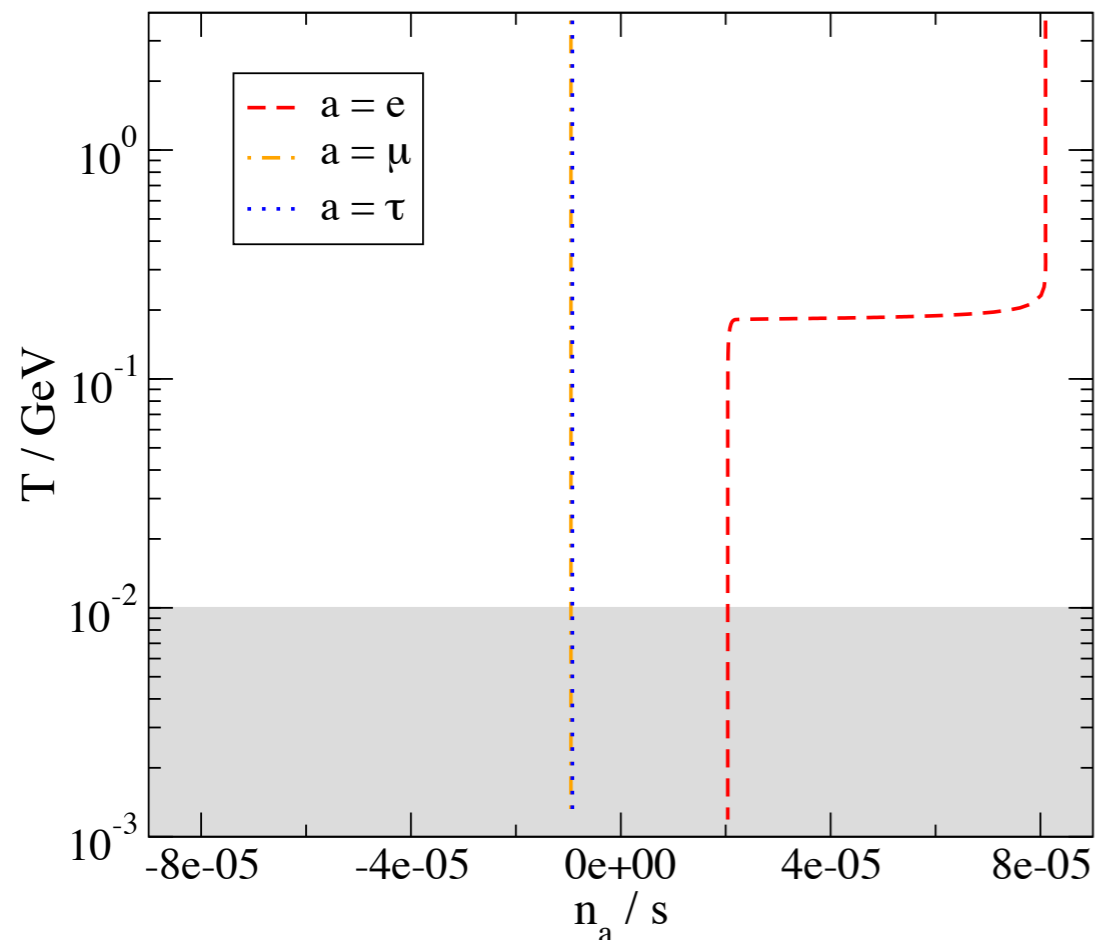
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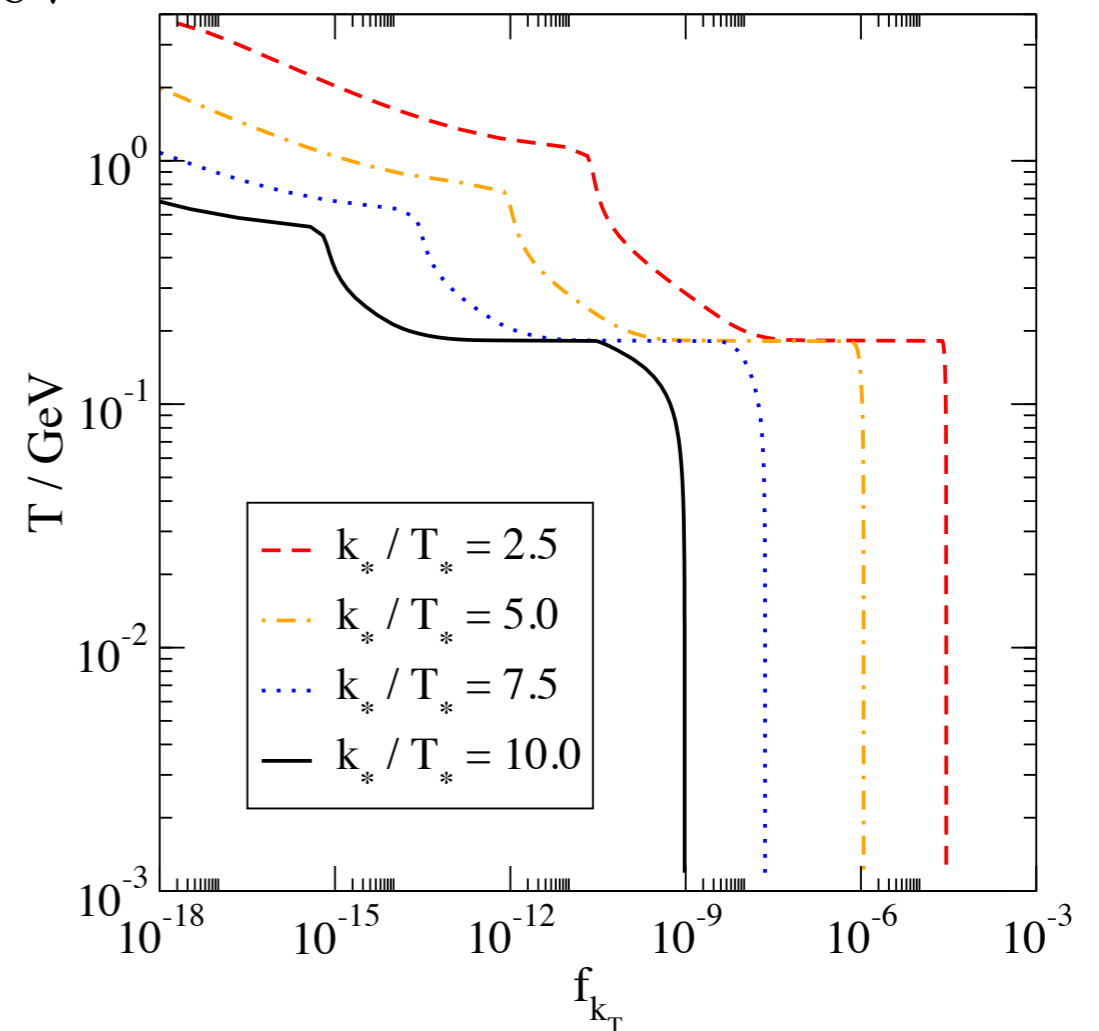
JG Laine [JHEP1511](#) (2015)

$$m_N = 7.1 \text{ keV}$$

$$\sin^2(2\theta) = 7 * 10^{-11}, \text{ case e, } \Omega_1 / \Omega_{\text{DM}} = 1$$



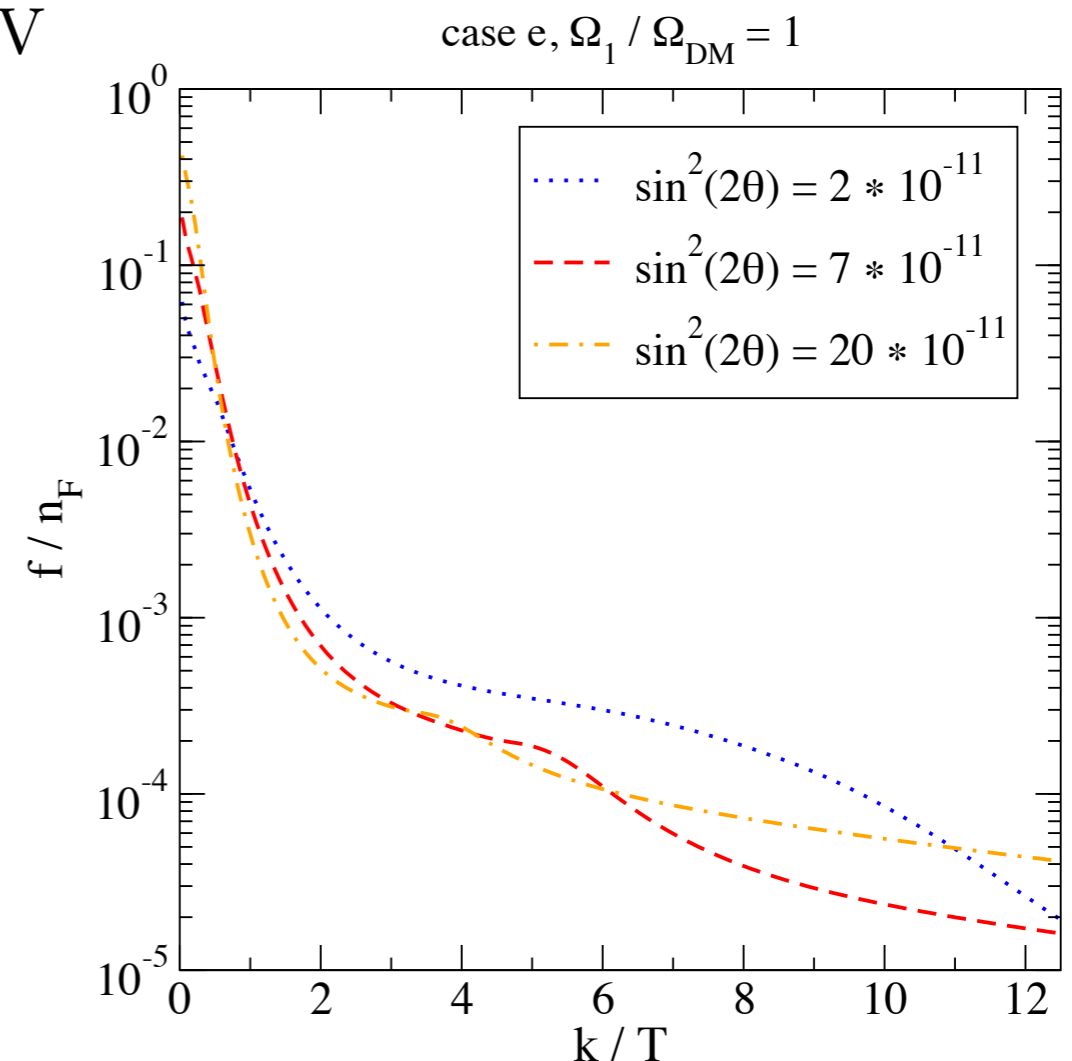
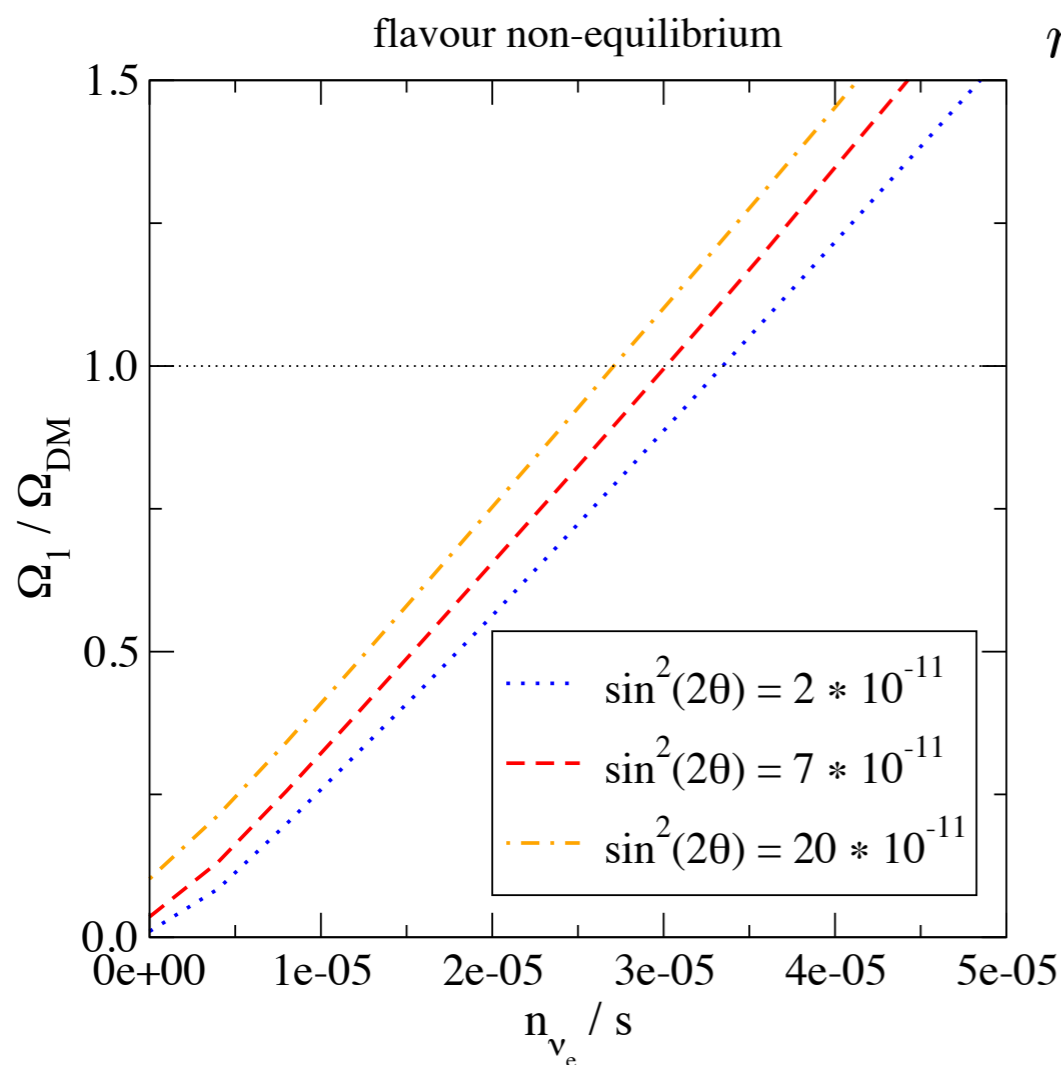
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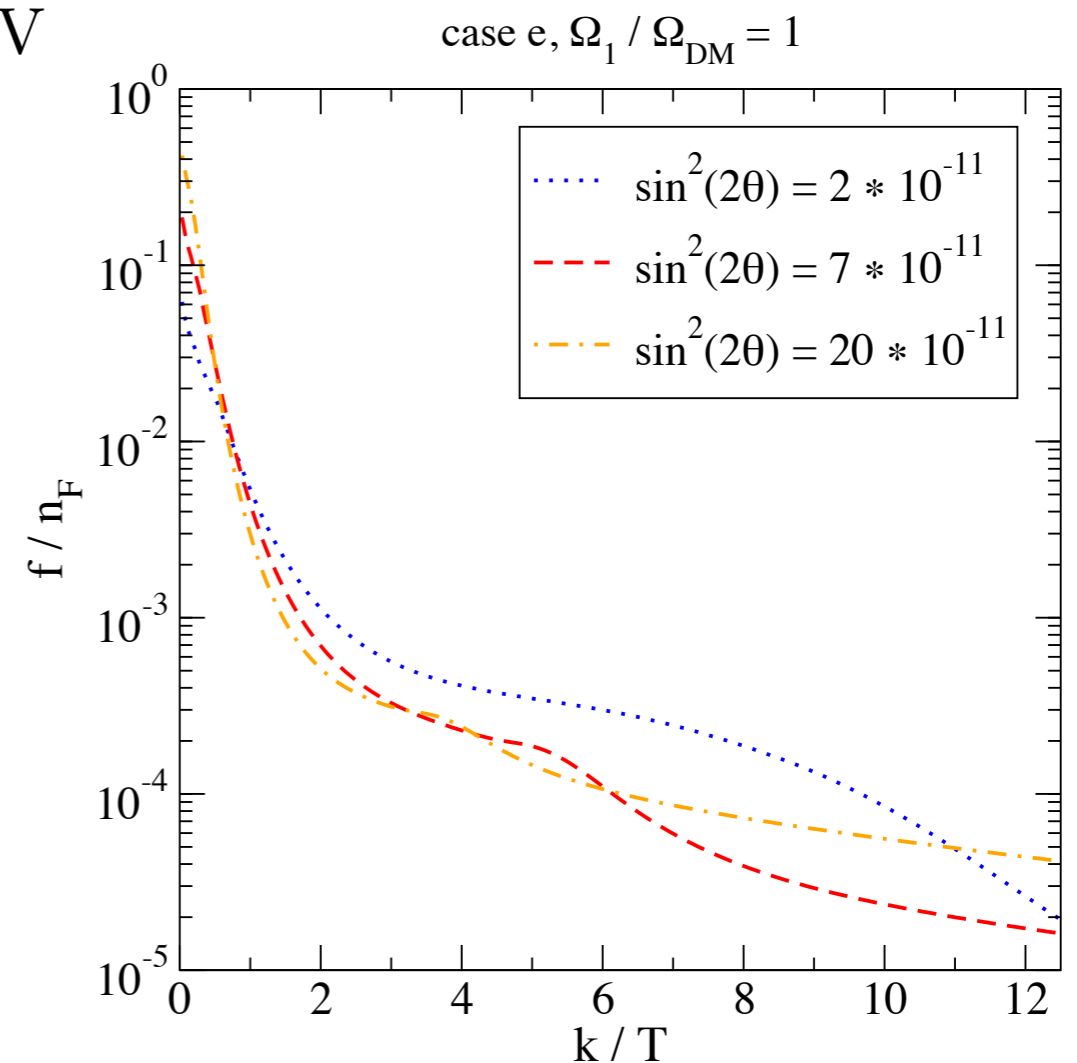
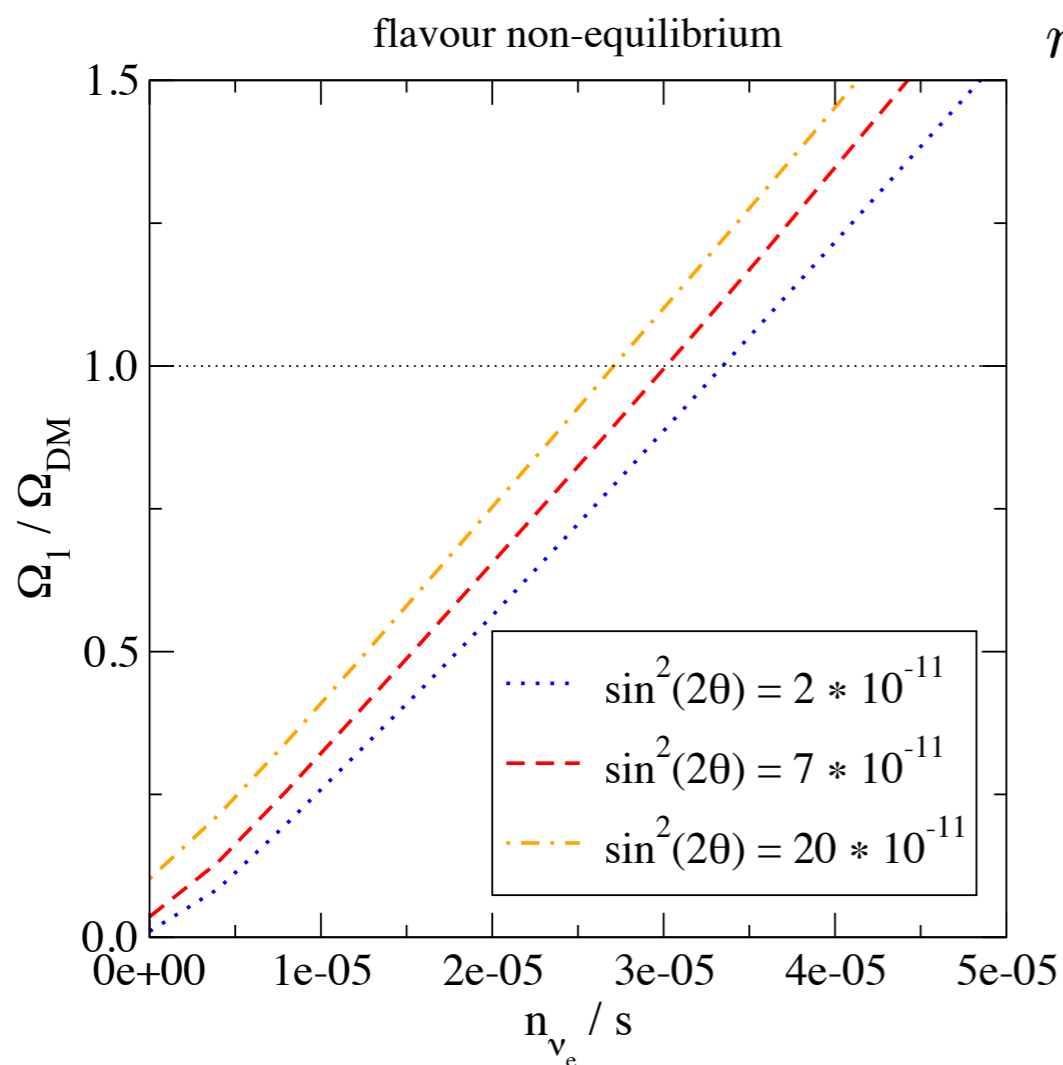
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JG Laine [JHEP1511 \(2015\)](#)



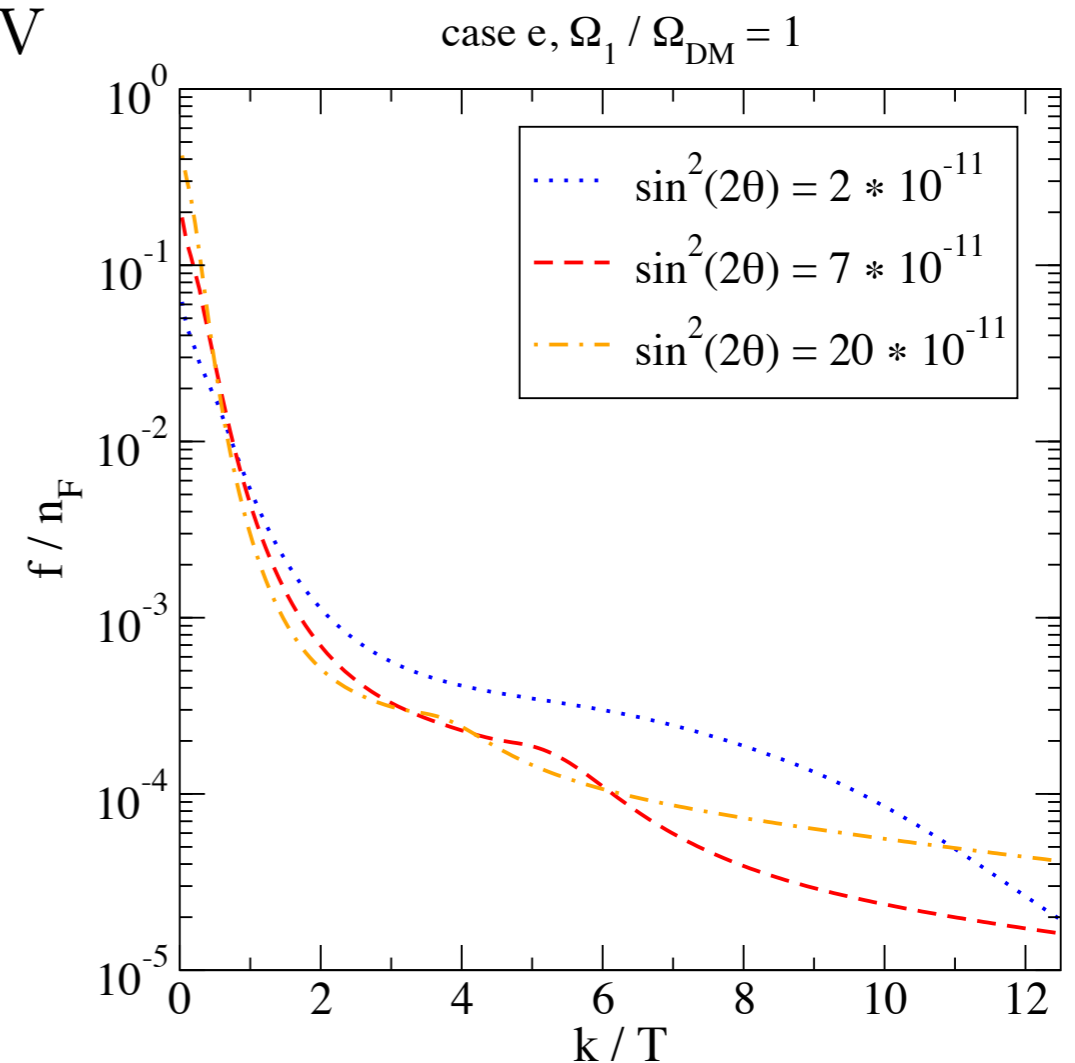
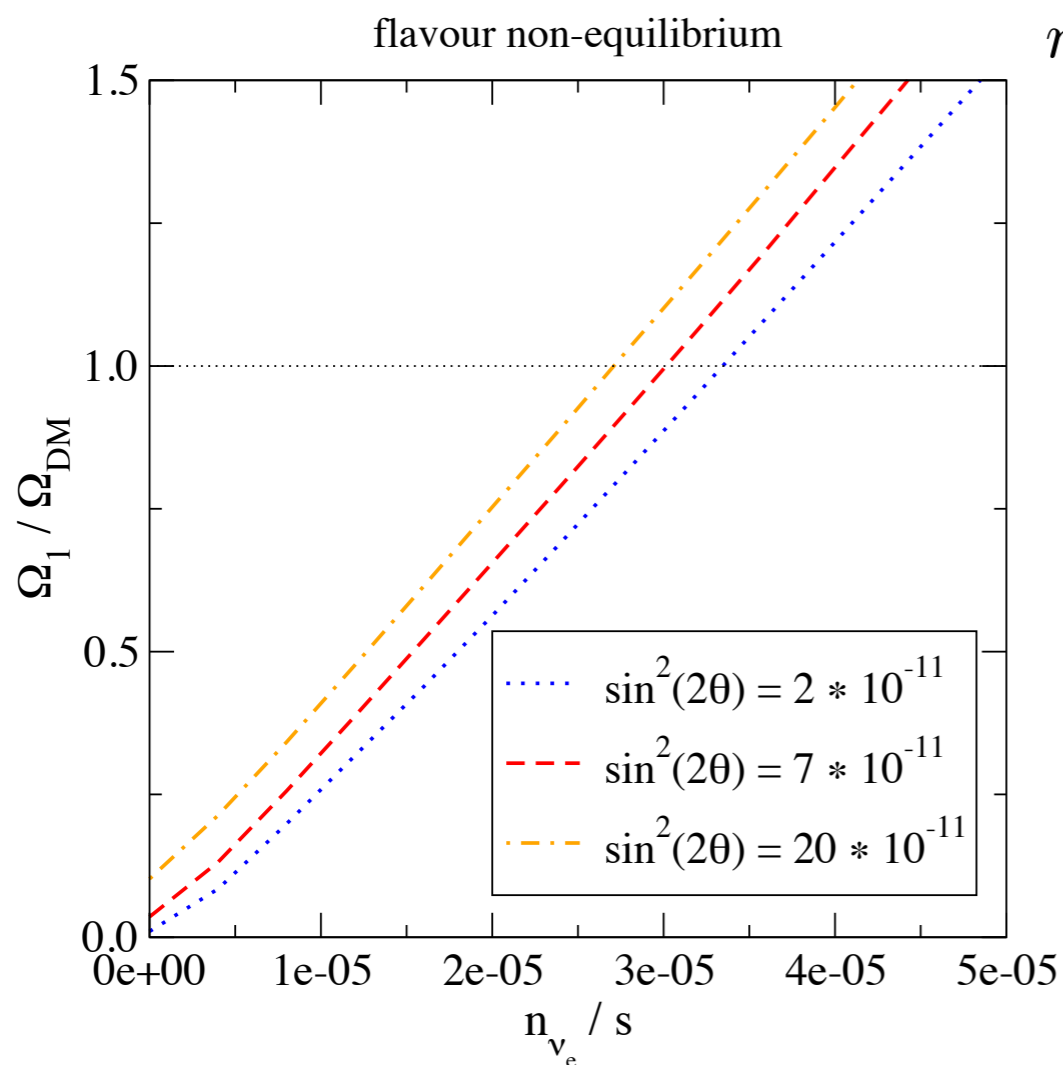
# Resonant sterile neutrino production

- All results and code available at <http://www.laine.itp.unibe.ch/dmpheno/>



# Resonant sterile neutrino production

- Some tension with recent astrophysical constraints [Baur et al 1706.03118](#)



# Summary

- **Right-handed neutrinos** are an economical extension of the SM potentially capable of accounting for three shortcomings
- We have determined the **equilibration** and **washout rates** for **GeV-scale RHNs** at **leading order** for  $5 \text{ GeV} < T < 160 \text{ GeV}$
- In the broken phase **these rates peak at  $T \sim 10\text{-}30 \text{ GeV}$** , due to the **efficient, resonance-like indirect processes**, with consequences for leptogenesis and keV scale dark matter
- Illustration of the **resonant production** mechanism for **keV scale dark matter**